



Request for Proposals (RFP) for Operation of Concessions of the Chicago Riverwalk

Specification No. 329656:

Due: 400p.m. Central Time March 9, 2017

Submitted by: Zenon McHugh

Rome's Joy Catering, Inc

dba

Mista Pizza



March 6, 2017

Jamie L. Rhee, Chief Procurement Officer
City of Chicago
Department of Procurement Services
Bid & Bond Room
Room 103, City Hall
121 N. LaSalle Street
Chicago, IL 60606

Re: Request for Proposal for Operation of Concessions on the Chicago Riverwalk
Specification No. 329656

To Whom It May Concern,

This letter is to confirm commitment to provide Services described in the RFP in accordance with the terms and conditions of any contract awarded pursuant to the FRP process. I acknowledge receipt of Addendum No. 1 to the RFP and state that I am authorized to execute any acknowledgement on behalf of this company listed below.

Rome's Joy Catering, Inc., is an Illinois Corporation which has operated since 2001. The headquarters address is 4455 S. Martin Luther King Drive in Chicago, Illinois 60615.

The President, Clifford Rome Jr., hereby authorizes, Zenon McHugh, to act as the principal contact and representative for this submittal. All responses and inquiries should be directed to Mr. McHugh.

Zenon McHugh
53 W. Jackson Blvd., Ste 260
Chicago, IL 60604
312-344-1001
zen@dandrealtd.com

Sincerely,
ROME'S JOY CATERING, INC. D/B/A MISTA PIZZA

Clifford Rome Jr.

February 21, 2017

Addendum No. 1

to

REQUEST FOR PROPOSAL (RFP) FOR OPERATION OF CONCESSIONS ON THE
CHICAGO RIVERWALK

SPECIFICATION NO. 329656

Required by:



CITY OF CHICAGO
Department of Fleet and Facility Management

Consisting of Sections 1 - 4 including this Acknowledgment.

SECTION 4: ADDENDUM RECEIPT ACKNOWLEDGMENT

I hereby acknowledge receipt of Addendum No.1 to the RFP named above and further state that I am authorized to execute this Acknowledgment on behalf of the company listed below.

Signature of Authorized Individual

Zenon McHugh

Name of Authorized Individual (Type or Print)

Authorized Representative

Title

Mista Pizza

Company Name

312-344-1001

Business Telephone Number

**Complete and Return this Acknowledgment by email to:
edward.anderson@cityofchicago.org**

**ATTACHMENT A
ONLINE EDS ACKNOWLEDGEMENT**

The undersigned, hereby acknowledges having received Specification No. 329656 containing a full set of RFP Documents, including, Addenda Numbers (none unless indicated here) Addendum No. 1, and affirms that the Respondent shall be bound by all the terms and conditions contained in the RFP Documents, regardless of whether a complete set thereof is attached to this response.

Under penalty of perjury, the undersigned: (1) warrants that he/she was authorized to submit an EDS on behalf of the Disclosing Party on-line, (2) warrants that all certifications and statements contained in the EDS are true, accurate and complete as of the date the EDS was submitted on-line, and (3) further warrants that, as of the date of submission of this response, there have been no changes in circumstances since the date that the EDS was submitted that would render any certification in the EDS false, inaccurate or incomplete.

Further, the undersigned being duly sworn deposes and says on oath that no disclosures of ownership interests have been withheld and the information provided therein to the best of its knowledge is current and the undersigned has not entered into any agreement with any other respondent or prospective respondent or with any other person, firm or corporation relating to the price named in this proposal or any other proposal, nor any agreement or arrangement under which any act or omission in restraining of free competition among respondents and has not disclosed to any person, firm or corporation the terms of this proposal or the price named herein.

COMPANY NAME: Mista Pizza
(Print or Type)

AUTHORIZED OFFICER SIGNATURE: _____

TITLE OF SIGNATORY: Authorized Representative
(Print or Type)

BUSINESS ADDRESS: 4455 S Martin Luther King Drive Chicago IL 60615
(Print or Type)

State of Illinois (Affix Corporate Seal)
County of Cook

This instrument was acknowledged before me on this ____ day of _____, 20__ by _____ as President (or other authorized officer) and _____ as Secretary of _____ (Company Name)

Notary Public Signature: _____ (Seal)



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT
Related to Contract/Amendment/Solicitation
EDS # 105341

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting the EDS:

Zenon McHugh

Enter d/b/a if applicable:

Mista Pizza

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

[REDACTED]
[REDACTED]
[REDACTED]

C. Telephone:

[REDACTED]

Fax:

Email:

[REDACTED]

D. Name of contact person:

Mr. Zenon J McHugh

E. Federal Employer Identification No. (if you have one):

██████████

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains:

Riverwalk Concession

Which City agency or department is requesting this EDS?

DEPT OF FLEET AND FACILITY MANAGEMENT

Specification Number

329656

Contract (PO) Number

Revision Number

Release Number

User Department Project Number

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Person or sole proprietor

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in [Chapter 2-156 of the Municipal Code](#), with any City elected official in the 12 months before the date this EDS is signed?

No

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained any legal entities in connection with the Matter?

No

3. Has the Disclosing Party retained any persons in connection with the Matter?

No

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under [Municipal Code Section 2-92-415](#), substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

No

B. FURTHER CERTIFICATIONS

1. Pursuant to [Municipal Code Chapter 1-23](#), Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if

the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows:

- i. neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

I certify the above to be true

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I certify the above to be true

3. Neither the Disclosing Party, nor any [Contractor](#), nor any [Affiliated Entity](#) of either the Disclosing Party or any [Contractor](#) nor any [Agents](#) have, during the five years

before the date this EDS is signed, or, with respect to a [Contractor](#), an [Affiliated Entity](#), or an [Affiliated Entity](#) of a [Contractor](#) during the five years before the date of such [Contractor's](#) or [Affiliated Entity's](#) contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of [Municipal Code Section 2-92-610 \(Living Wage Ordinance\)](#).

I certify the above to be true

4. Neither the Disclosing Party, [Affiliated Entity](#) or [Contractor](#), or any of their employees, officials, [agents](#) or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of [720 ILCS 5/33E-3](#);
- bid-rotating in violation of [720 ILCS 5/33E-4](#); or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I certify the above to be true

5. Neither the Disclosing Party nor any [Affiliated Entity](#) is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the [Specially Designated Nationals List](#), the [Denied Persons List](#), the [Unverified List](#), the [Entity List](#) and the [Debarred List](#).

I certify the above to be true

6. The Disclosing Party understands and shall comply with the applicable requirements of [Chapters 2-55 \(Legislative Inspector General\)](#), [Chapter 2-56 \(Inspector General\)](#) and [Chapter 2-156 \(Governmental Ethics\)](#) of the Municipal Code.

I certify the above to be true

7. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.

None

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that, as defined in [Section 2-32-455\(b\) of the Municipal Code](#), the Disclosing Party

is not a "financial institution"

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in [Chapter 2-156 of the Municipal Code](#) have the same meanings when used in this Part D.

1. In accordance with [Section 2-156-110 of the Municipal Code](#): Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies

during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

No

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, [Chapters 2-156](#) and [2-164](#) of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. A training program is available on line at www.cityofchicago.org/city/en/depts/ethics.html, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void),

at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of [Chapter 1-23 of the Municipal Code](#) (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by [Chapter 1-23](#) and [Section 2-154-020 of the Municipal Code](#).

I acknowledge and consent to the above

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its [Affiliated Entities](#) delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

I certify the above to be true

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its [Affiliated Entities](#) will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal [Excluded Parties List System \("EPLS"\)](#) maintained by the U.S. General Services Administration.

I certify the above to be true

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such

contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

I certify the above to be true

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This question is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under [Municipal Code Section 2-154-015](#), the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

APPENDIX B - BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

Pursuant to [Municipal Code Section 2-154-010](#), is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to [Section 2-92-416 of the Municipal Code](#)?

No

ADDITIONAL INFO

Please add any additional explanatory information here. If explanation is longer than 1000 characters, you may add an attachment below. Please note that your EDS, including all attachments, becomes available for public viewing upon contract award. Your attachments will be viewable "as is" without manual redaction by the City. You are responsible for redacting any non-public information from your documents before uploading.

List of vendor attachments uploaded by City staff

None .

List of attachments uploaded by vendor

None .

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS are true, accurate and complete as of the date furnished to the City.

/s/ 03/07/2017

Mr. Zenon J McHugh
President
Zenon McHugh

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.



The primary location being sought is in Arcade District, known as,

The Water Plaza: 151-55 West Riverwalk. We would like a 3-year term with the potential to extend for 2 additional years.

The secondary location being sought is in the Arcade District, known as,

The Marina: 11-27 West Riverwalk. We would like a 3-year term with the potential to extend for 2 additional years.

The third location being sought is in the Arcade District, known as,

The Cove: 55-71 West Riverwalk. We would like a 3-year term with the potential to extend for 2 additional years.



Executive Summary

Mista Pizza provides organic food and wine and is looking to relocate to the newly renovated Chicago Riverwalk in Chicago. Mista Pizza plans to become the leading restaurant specializing in organic food by having the best and most efficient people, processes, and products. To achieve this, Mista Pizza will be investing in many ways that will pay off in competitive advantages for its customers. The company's overall strategy will be based on a continuing improvement process of setting objectives, measuring results, and providing feedback to facilitate further growth and progress.

Mista Pizza focuses on organic and creative foods. Mista is setting a new standard of excellence using fresh, organic and natural ingredients to promote a healthier living. The concept of organic pizza and wine is a new one that the Riverwalk has not yet seen. It will delight individuals and families alike.

Mista Pizza will be unique to the residents, visitors and tourists of the Riverwalk area. We will render quality food, and a style of service that will make everyone feel welcome and special. Our food products will be of the finest quality and prepared with sensitivity and care. We will provide a comfortable and cozy environment to the customers. We will 'go all the way' to satisfy our guests.

Mista Pizza will primarily focus on the Chicago Riverwalk residents, but it has been our observation that the area is heavily frequented by tourists and residents from other parts of the city. The restaurant will make it the preferred restaurant for tourists and visitors coming to the Chicago Riverwalk. At present, none of the local food restaurant creates an environment that is responsive to the entertainment demands of this core customer group.

By focusing on its strengths, its key customers, and the underlying values they need, Mista Pizza will increase sales steadily in its first three years, while also maintaining the gross margin on sales, with a focus on cash management and working capital.

Our mission is to sell delicious and extraordinary food and while consistently providing our customers with excellent service by representing warmth, graciousness, efficiency, professionalism and integrity to our work. To have every customer who comes to our restaurant greeted and thanked at least once for allowing us to serve, and to leave impressed.

Mista Pizza will bring organic foods to the Riverwalk. The mission is not only to have great tasting food, but have efficient and friendly service. Our dining environment is not only welcoming and sophisticated, it is unique in design. We concentrate on customer satisfaction and quality food that is always fresh and specially selected. We will not judge a customer on class or dress. We want Mista Pizza to be place people can enjoy a good meal and meet new friends.



We will not only make Mista Pizza a restaurant, but it would also be a place of history for its patrons. Simple historical facts about the city, food, icons will all be available. Flying of the Chicago Flag and painting/murals by Chicago artists will hang to consistently showcase Chicago and its uniqueness. Social media streams asking trivial question on historical facts, such as the first skyscraper, the Chicago river backwards flow, Daniel Burnham, etc. Old time photos will hang and integrated into the menu and design to represent historic and current Chicago. Our way of illustrating the uniqueness and greatness of the city.

This restaurant will be one of its kind offering a great view, a cozy environment We will offer a variety type of food menu and drinks, including pizza, wraps, salads, Italian ice and different types of beverages, such as beer and craft cocktails using only local businesses. Our goal at Mista Pizza is for the customer to have great food and a great experience each time he or she visit us. We aim to maintain loyal customers that dine out at our restaurant whenever they visit Riverwalk. We will make sure to deliver them the service they need.

Green & Sustainability

We understand the importance of nature as a landscape and major resource to the City of Chicago. The ideas of Daniel Burnham and Frederick Law Olmsted gave us an amazing look and perception of how Chicago could look. A lot of Burnham's ideas may not have happened, but one major concept has stood the test of time. Keeping the lakefront free and clear. I believe that green foliage creates a beautiful landscape and we need to preserve as much beauty and natural resource as possible. The Riverwalk is mainly concrete but that does not mean we should not consider placing planters with flowers to create natural beauty along the Chicago River. We have retained an architect that is a MBE which specialize in green & sustainable performance. We are hoping to create a design that will not only give us optimal performance in reducing pollution but also giving back to the beauty of the Riverwalk. Besides the physical look of the area, we plan on implementing ecofriendly procedures such as recycling of oils, using recycled paper products and other useful means of reducing the carbon footprint.

MBE & WBE Commitment

We will make the best effort to achieve the MBE & WBE goals for both the design and construction phases of the project. We have already spoken to and retained multiple businesses that qualify for with the certifications. Our Architect, attorney and two of our contractors all have the appropriate certificates to meet the City's requirements for this project.



Products & Services (Menu)

Mista Pizza will offer a range of fresh food prepared with organic ingredients which include pizza, lasagna, salads, other food items, catering menu, drinks (alcoholic and nonalcoholic),

Our traditional Menu consists of the following, but we will only offer a selected few items each night to create a more efficient menu that will produce less waste.

Signature Pizzas

- **Margherita:**
Sauce, roasted organic plum tomatoes, fresh mozzarella, fresh basil, sea salt, E.V.O.O.
- **Napolitano:**
Sauce, roasted organic plum tomatoes, roasted organic garlic, organic arugula, fresh mozzarella, fresh oregano, sea salt, E.V.O.O.
- **Florentine:**
Sauce, organic baby spinach, seasoned ricotta, organic mushrooms, roasted organic garlic, fresh oregano, fresh mozzarella, E.V.O.O.
- **Heart Smart:**
Sauce, organic baby spinach, organic roasted vegetables, organic shredded mozzarella, sea salt, E.V.O.O.
- **Prosciutto:**
Sauce, fresh mozzarella, prosciutto, organic arugula, roasted organic plum tomatoes, roasted organic garlic, E.V.O.O.
- **BBQ Chicken:**
Natural roasted BBQ chicken, pineapple, caramelized onions, imported cheese, cilantro
- **Pesto:**
Basil pesto, Kalamata olives, fresh mozzarella, roasted garlic, E.V.O.O.
- **Bianca:**
White sauce, organic baby spinach, organic mushrooms, seasoned ricotta, roasted organic plum tomatoes, fontanelle cheese, E.V.O.O.
- **Mark's Special:**
A white pizza with a blend of four cheeses, natural roasted chicken, organic, sundried tomatoes, organic baby spinach, E.V.O.O.

Traditional Pizzas

- **Cheese:**
Sauce, Italian imported cheese, oregano.
- **Sausage:**
Sauce, Italian imported cheese, oregano.
- **Pepperoni:**
Sauce, Italian imported cheese, oregano.



From the Garden

- **House:**
Organic mixed greens, tomatoes, red onions, pepperoncini, chick peas, balsamic vinaigrette.
- **Caesar:**
Organic Romaine lettuce and garlic croutons in a creamy Caesar dressing topped with shaved parmesan.
- **Spinach:**
Organic baby spinach, low fat feta, organic strawberries, organic toasted sunflower seeds, bacon, and a warm raspberry vinaigrette.
- **Mista:**
Organic field greens, organic granny smith apples, organic dried cherries, toasted macadamia nuts, and low fat feta with Mista's signature dressing.
- **Caprese Salad:**
Fresh mozzarella, organic plum tomatoes, fresh organic basil, organic Mista seasoning, and E.V.O.O.
- **Broadway:**
Mixed greens, natural roasted chicken, tomatoes, Kalamata olives, gorgonzola, and nappa cabbage topped with Mista's signature dressing.
- **Antipasto:**
Organic Romaine hearts, assorted Italian meats and cheeses, olives, and pepperoncini's topped with balsamic vinaigrette.
- **Organic Hummus:**
(served with natural pita chips)

Wraps

- **Mista's Meatballs:**
With red sauce and melted provolone cheese.
- **Chicken Caesar:**
With natural roasted chicken.
- **The Hero:**
Capicola, salami, mortadella, provolone, mixed greens, roasted red peppers, balsamic vinaigrette.
- **Organic Veggie:**
Roasted organic vegetables, cheese, field greens, in a balsamic vinaigrette.
- **Italian Tuna:**
Imported tuna, red onion, celery, lemon vinaigrette.
- **Mista:**
Organic field greens roasted chicken, organic granny smith apples, organic dry cherries, roasted macadamia nuts, provolone cheese, Mista's Signature Dressing.



Lasagna

- Cheese Lasagna: Served with marinara sauce.
- Meat Lasagna
- Spinach Lasagna
- Organic Roasted Vegetable Lasagna: Served with marinara (No Pasta, Lo-Carb, and Gluten-Free.)

To accompany the food, we will also offer nonalcoholic beverages such as Ice Tea, Lemonade, fountain drinks, etc.

We will also create a rotating list of Chicago produced beer to highlight our city's breweries. In addition to craft beer we will also have a high focus on craft cocktails, primarily in the summer, as a refreshing beverage.

Where the concept comes together is with the addition of Wine. We will be showcasing different blends throughout the year to compliment the organic food. The idea of sharing a bottle of wine and pizza while on the Riverwalk is surely to get anyone excited.

Another Chicago staple not on the Riverwalk is Italian ice, we have gelato and other ice cream but there is no one selling Italian ice. We believe this could be a major hit, because customer can stop at the stand grab a cup of Italian ice and walk down the Riverwalk. Mario's on Taylor street creates a line a block long on summer days and they then leave the neighborhood. We would create an atmosphere in which patrons would not want to leave the Riverwalk, they will want to walk and eat their Italian Ice and see what else the Riverwalk has to offer, as opposed to staying in one spot all night long.

Mista Pizza will offer intimate boat rides up and down the Chicago River. We will also offer tours of the Chicago harbors and twice a week the city puts on Firework shows from Navy Pier. We will offer intimate boat rides for people to have a private boat take them out and view the specular shows. There are currently some other businesses that offer this but they are mainly to rent out bigger boats. Zenon McHugh recently passed all his coast guard examination and has submitted his paperwork to be a registered Master Mariner through the Inland water ways. This will require additional insurance which we have read the terms and requirements of the City and we will adhere to if our bid is selected.

In additional to or in substitute for the charter boat, we would start to offer gondola rides up and down the Chicago river. The gondolas would not be traditional nonpowered vessels, they would be batter operated vessels in which 2-4 people could enjoy a guided tour down the river while enjoying an Italian ice or glass of wine. Rides be in increments of 20 minutes.

The company will be following up aggressive strategy to build its name in the market. Food business usually requires the business to be on toes all the time owing to highly competitive market. We will implement its sales and marketing strategy effectively as these are activities that



can guarantee the success of the business. To ensure success, Mista Pizza will need to target wider market sector and attempt to attract customers throughout the day entire week. Breakfast, lunch, tea and dinner will be available to the customers along with the cozy environment.

Another means of advertising and promoting will be to engage in cross promotions with the theater industry such as the theaters, opera house, and all the other arts. We will discuss discounts and cross promotions to promote the use of both the Mista Pizza and various shows.

Value Added Services

Some of the strategies to be implemented for success of the business are;

- We plan to put tables along the Riverwalk for patrons to enjoy their food and beverage. The tables may be picnic tables, 4 tops with colorful umbrellas to be more visually stimulating.
- We plan to offer live entertainment from local artists such as musicians.
- We plan setup games for kids and adults to play such as shuffle board court, which would double as a curling area during the winter months. We would discuss closing in the curling ring to attract more patrons to the Riverwalk during the colder months.
- We would consider enclosing the patio during the winter months to establish a restaurant that is available throughout the year.
- We will be dog friendly and have treats and plastic water bowls in case our four legged friends came by.

Showcase Local Artists

- Musicians
- Painters/Drawers

Dock & Go

- Boater will have the ability to tie up next to our restaurant, jump out and enjoy our food and beverages.

Touch & Go

- Boaters can call ahead and we would prepare their food for them. They would then come to the dock we give them their food and they could continue down the river or out into Lake Michigan.

We will implement daily specials for food, beers, craft cocktails and wine. (i.e. wined down Wednesday). We will create a summer craft cocktail menu that may be enjoyed sitting along the Riverwalk, eating some food or just conversing with some friends.



We plan to advertise through social media (Facebook, Twitter, SnapChat, Instagram, and online website), local newsletters and using a PR firm to create a buzz before the restaurant opens, focusing primarily on entertainment, tourist and lifestyle

Management Summary

Management of the restaurant are passionate and experienced.

Chef Clifford Rome developed an early interest in food after helping his grandmother prepare meals fresh from the garden of his childhood home in Chicago's Englewood neighborhood. He began his culinary career as a food operations manager at Midway Concessionaires and mastered the art of event planning through his experience with the Marriott Corporation. Chef Rome's career took a turn toward fine dining, working with renowned chefs Wolfgang Puck and François Kwaku-Dongo at Spago Restaurant, as a line chef and member of their catering team—creating meals for the social elite, commercial photo shoots and Oscar parties.

Today, Chef Rome's culinary experience spans the globe. While working at Spago, Chef Rome studied culinary arts in Paris. He would later serve as a culinary consultant on a privately-owned yacht, for over a year, while sailing the Caribbean. From 1998-2003, Chef Rome was one of five chefs selected to work at the Cannes International Film Festival's American Pavilion—alongside master chefs Roger Verge, Bobby Flay, Mario Batali and Todd English. In 2002, Chef Rome founded Rome's Joy Companies, which boasts a business portfolio that includes two restaurants, a full-service catering and event-planning company, a fine art gallery and the Parkway Ballroom—a historic banquet facility located in the heart of Chicago's Bronzeville community.

With more than 20 years of experience in the field of food service, Chef Rome has received numerous awards and recognition from local, national and international media alike. This includes frequent television appearances as well as features in Chicago Sun-Times, The Washington Post, Black Enterprise magazine to name a few.

Chef Rome is committed to promoting African American participation in the culinary industry. He has partnered with Culinary Through Culinary Arts Program (CCAP), After School Matters, Healthy Schools Campaign, YMCA, Education to Careers and Washburne Culinary School (the oldest culinary school in the country), where he serves as Board President. Through these partnerships, Chef Rome has encouraged African American youth to pursue careers in the culinary field.



Zen McHugh is a Small Business Tax Expert. His expertise in Small Business Tax comes from 10+ years of workplace experience as a Tax Accountant & Operations Manager for a small business accounting firm.

He received his Bachelor's in Accounting from DePaul University and his Master's in Taxation from the University of Illinois. He was born, raised and resides in Chicago. As a federally licensed Enrolled Agent (EA) tax practitioner, he is empowered by the U.S. Department of the Treasury to represent taxpayers before all administrative levels examination, collection, and appeal of the Internal Revenue Service.

Zen's knowledge of Small Business Accounting includes a firm understanding of how tax regulations work at federal, state and local levels. He is an accomplished tax accountant with expertise in bookkeeping, reconciliations, financial statements, sales/payroll/income taxes, forensic accounting, mergers & acquisitions, new business startup, tax planning, business plans, marketing plans, business development, procedures & policies and any other small business accounting matter. Zen has specialized in restaurants and bar establishments for the past 8 years. From the inception of the business, marketing plans, business plans, cash flow analysis, setting procedures and policies, tax compliance work, navigating the legal world for permits and licenses, and all other aspects of the back of the house.

Disclosures

Prior to contract award, we acknowledge we must submit evidence of insurance in the amount specified in the Exhibit 7 of this RFP.

In the past 5 years, there has not been any legal actions taken against us as outlined in the RFP.



Business Licenses and certificated enclosed.

**ATTACHMENT A
ONLINE EDS ACKNOWLEDGEMENT**

The undersigned, hereby acknowledges having received Specification No. 329656 containing a full set of RFP Documents, including, Addenda Numbers (none unless indicated here) Addendum No. 1, and affirms that the Respondent shall be bound by all the terms and conditions contained in the RFP Documents, regardless of whether a complete set thereof is attached to this response.

Under penalty of perjury, the undersigned: (1) warrants that he/she was authorized to submit an EDS on behalf of the Disclosing Party on-line, (2) warrants that all certifications and statements contained in the EDS are true, accurate and complete as of the date the EDS was submitted on-line, and (3) further warrants that, as of the date of submission of this response, there have been no changes in circumstances since the date that the EDS was submitted that would render any certification in the EDS false, inaccurate or incomplete.

Further, the undersigned being duly sworn deposes and says on oath that no disclosures of ownership interests have been withheld and the information provided therein to the best of its knowledge is current and the undersigned has not entered into any agreement with any other respondent or prospective respondent or with any other person, firm or corporation relating to the price named in this proposal or any other proposal, nor any agreement or arrangement under which any act or omission in restraining of free competition among respondents and has not disclosed to any person, firm or corporation the terms of this proposal or the price named herein.

COMPANY NAME: Mista Pizza
(Print or Type)

AUTHORIZED OFFICER SIGNATURE: _____

TITLE OF SIGNATORY: Authorized Representative
(Print or Type)

BUSINESS ADDRESS: 4455 S Martin Luther King Drive Chicago IL 60615
(Print or Type)

State of Illinois (Affix Corporate Seal)
County of Cook

This instrument was acknowledged before me on this ____ day of _____, 20__ by _____ as President (or other authorized officer) and _____ as Secretary of _____ (Company Name)

Notary Public Signature: _____ (Seal)

February 21, 2017

Addendum No. 1

to

REQUEST FOR PROPOSAL (RFP) FOR OPERATION OF CONCESSIONS ON THE
CHICAGO RIVERWALK

SPECIFICATION NO. 329656

Required by:



CITY OF CHICAGO
Department of Fleet and Facility Management

Consisting of Sections 1 - 4 including this Acknowledgment.

SECTION 4: ADDENDUM RECEIPT ACKNOWLEDGMENT

I hereby acknowledge receipt of Addendum No.1 to the RFP named above and further state that I am authorized to execute this Acknowledgment on behalf of the company listed below.

Signature of Authorized Individual

Zenon McHugh

Name of Authorized Individual (Type or Print)

Authorized Representative

Title

Mista Pizza

Company Name

312-344-1001

Business Telephone Number

**Complete and Return this Acknowledgment by email to:
edward.anderson@cityofchicago.org**



CERTIFICATE OF FILING FOR
CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT

EDS Number: 105161
Certificate Printed on: 03/07/2017
Disclosing Party: Zenon McHugh
Filed by: Mr. Zenon J McHugh

Date of This Filing: 03/07/2017 11:55 PM
Original Filing Date: 03/07/2017 11:55 PM
Title: President

Matter: RFD For Operation of Concessions on
the Chicago Riverwalk
Applicant: Zenon McHugh
Specification #: 329656
Contract #:

The Economic Disclosure Statement referenced above has been electronically filed with the City. Please provide a copy of this Certificate of Filing to your city contact with other required documents pertaining to the Matter. For additional guidance as to when to provide this Certificate and other required documents, please follow instructions provided to you about the Matter or consult with your City contact.

A copy of the EDS may be viewed and printed by visiting <http://webapps1.cityofchicago.org/EDSWeb> and entering the EDS number into the EDS Search. Prior to contract award, the filing is accessible online only to the disclosing party and the City, but is still subject to the Illinois Freedom of Information Act. The filing is visible online to the public after contract award.

2017

Ordinary Income/Expense

Income

	May	June	July	August	September	October	Total
Food Sales	\$ 60,000.00	\$ 75,000.00	\$ 75,000.00	\$ 75,000.00	\$ 75,000.00	\$ 60,000.00	\$ 420,000.00
Beverage Sales	\$ 8,000.00	\$ 12,000.00	\$ 15,000.00	\$ 15,000.00	\$ 15,000.00	\$ 8,000.00	\$ 73,000.00
Italian Ice Sale	\$ 2,500.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 2,500.00	\$ 25,000.00
Total Income	\$ 70,500.00	\$ 92,000.00	\$ 95,000.00	\$ 95,000.00	\$ 95,000.00	\$ 70,500.00	\$ 518,000.00
Cost of Goods Sold - Variable							
Food Purchases 30%	\$ 18,000.00	\$ 22,500.00	\$ 22,500.00	\$ 22,500.00	\$ 22,500.00	\$ 18,000.00	\$ 126,000.00
Beverage Purchases 20%	\$ 1,600.00	\$ 2,400.00	\$ 3,000.00	\$ 3,000.00	\$ 3,000.00	\$ 1,600.00	\$ 14,600.00
Bar Consumables 4%	\$ 320.00	\$ 480.00	\$ 600.00	\$ 600.00	\$ 600.00	\$ 320.00	\$ 2,920.00
Italian Ice Purchases 15%	\$ 375.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 750.00	\$ 375.00	\$ 3,750.00
Salaries & Wages 20%	\$ 14,100.00	\$ 18,400.00	\$ 19,000.00	\$ 19,000.00	\$ 19,000.00	\$ 14,100.00	\$ 103,600.00
Payroll Tax Expenses 8.5%	\$ 1,198.50	\$ 1,564.00	\$ 1,615.00	\$ 1,615.00	\$ 1,615.00	\$ 1,198.50	\$ 8,806.00
Credit Card Processing 3%	\$ 2,115.00	\$ 2,760.00	\$ 2,850.00	\$ 2,850.00	\$ 2,850.00	\$ 2,115.00	\$ 15,540.00
Sales Tax Expense 10.25%	\$ 7,226.25	\$ 9,430.00	\$ 9,737.50	\$ 9,737.50	\$ 9,737.50	\$ 7,226.25	\$ 53,095.00
Restaurant Tax Expense .25%	\$ 176.25	\$ 230.00	\$ 237.50	\$ 237.50	\$ 237.50	\$ 176.25	\$ 1,295.00
Rent Expense 10%	\$ 7,050.00	\$ 9,200.00	\$ 9,500.00	\$ 9,500.00	\$ 9,500.00	\$ 7,050.00	\$ 51,800.00
Total COGS	\$ 52,151.00	\$ 67,714.00	\$ 69,790.00	\$ 69,790.00	\$ 69,790.00	\$ 52,151.00	\$ 381,406.00
Gross Profit	\$ 18,339.00	\$ 24,286.00	\$ 25,210.00	\$ 25,210.00	\$ 25,210.00	\$ 18,339.00	\$ 136,594.00

Expense

Advertising and Promotion	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 3,000.00
Automobile Expense	\$ 300.00	\$ 300.00	\$ 300.00	\$ 300.00	\$ 300.00	\$ 300.00	\$ 1,800.00
Bank Service Charges	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 300.00
Computer and Internet Expenses	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 600.00
Dues and Subscriptions	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 450.00
Equipment Rental	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 1,200.00
Insurance Expense	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 2,100.00
Legal Fees	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Licenses & Permits	\$ 1,500.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 2,000.00
Office Supplies	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Paper Products	\$ 2,115.00	\$ 2,760.00	\$ 2,850.00	\$ 2,850.00	\$ 2,850.00	\$ 2,115.00	\$ 15,540.00
Professional Fees	\$ 400.00	\$ 400.00	\$ 400.00	\$ 400.00	\$ 400.00	\$ 400.00	\$ 2,400.00
Repairs and Maintenance	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 600.00
Printing & Reproduction	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Telephone Expense	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Uniforms	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 450.00
Utilities	\$ 650.00	\$ 650.00	\$ 650.00	\$ 650.00	\$ 650.00	\$ 650.00	\$ 3,900.00
Total Expense	\$ 7,015.00	\$ 6,260.00	\$ 6,350.00	\$ 6,350.00	\$ 6,350.00	\$ 5,615.00	\$ 37,940.00

Net Ordinary Income

\$ 11,324.00	\$ 18,026.00	\$ 18,860.00	\$ 18,860.00	\$ 18,860.00	\$ 18,860.00	\$ 12,724.00	\$ 98,654.00
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2018

Ordinary Income/Expense

Income	May	June	July	August	September	October	Total
Food Sales	\$ 63,000.00	\$ 78,750.00	\$ 78,750.00	\$ 78,750.00	\$ 78,750.00	\$ 63,000.00	\$ 441,000.00
Beverage Sales	\$ 8,400.00	\$ 12,600.00	\$ 15,750.00	\$ 15,750.00	\$ 15,750.00	\$ 8,400.00	\$ 76,650.00
Italian Ice Sale	\$ 2,625.00	\$ 5,250.00	\$ 5,250.00	\$ 5,250.00	\$ 5,250.00	\$ 2,625.00	\$ 26,250.00
Total Income	\$ 74,025.00	\$ 96,600.00	\$ 99,750.00	\$ 99,750.00	\$ 99,750.00	\$ 74,025.00	\$ 543,900.00
Cost of Goods Sold - Variable							
Food Purchases 30%	\$ 18,900.00	\$ 23,625.00	\$ 23,625.00	\$ 23,625.00	\$ 23,625.00	\$ 18,900.00	\$ 132,300.00
Beverage Purchases 20%	\$ 1,680.00	\$ 2,520.00	\$ 3,150.00	\$ 3,150.00	\$ 3,150.00	\$ 1,680.00	\$ 15,330.00
Bar Consumables 4%	\$ 336.00	\$ 504.00	\$ 630.00	\$ 630.00	\$ 630.00	\$ 336.00	\$ 3,066.00
Italian Ice Purchases 15%	\$ 393.75	\$ 787.50	\$ 787.50	\$ 787.50	\$ 787.50	\$ 393.75	\$ 3,937.50
Salaries & Wages 20%	\$ 14,805.00	\$ 19,320.00	\$ 19,950.00	\$ 19,950.00	\$ 19,950.00	\$ 14,805.00	\$ 108,780.00
Payroll Tax Expenses 8.5%	\$ 1,258.43	\$ 1,642.20	\$ 1,695.75	\$ 1,695.75	\$ 1,695.75	\$ 1,258.43	\$ 9,246.30
Credit Card Processing 3%	\$ 2,220.75	\$ 2,898.00	\$ 2,992.50	\$ 2,992.50	\$ 2,992.50	\$ 2,220.75	\$ 16,317.00
Sales Tax Expense 10.25%	\$ 7,587.56	\$ 9,901.50	\$ 10,224.38	\$ 10,224.38	\$ 10,224.38	\$ 7,587.56	\$ 55,749.75
Restaurant Tax Expense .25%	\$ 185.06	\$ 241.50	\$ 249.38	\$ 249.38	\$ 249.38	\$ 185.06	\$ 1,359.75
Rent Expense 10%	\$ 7,402.50	\$ 9,660.00	\$ 9,975.00	\$ 9,975.00	\$ 9,975.00	\$ 7,402.50	\$ 54,390.00
Total COGS	\$ 54,769.05	\$ 71,099.70	\$ 73,279.50	\$ 73,279.50	\$ 73,279.50	\$ 54,769.05	\$ 400,476.30
Gross Profit	\$ 19,255.95	\$ 25,500.30	\$ 26,470.50	\$ 26,470.50	\$ 26,470.50	\$ 19,255.95	\$ 143,423.70
Expense							
Advertising and Promotion	\$ 250.00	\$ 250.00	\$ 250.00	\$ 250.00	\$ 250.00	\$ 250.00	\$ 1,500.00
Automobile Expense	\$ 300.00	\$ 300.00	\$ 300.00	\$ 300.00	\$ 300.00	\$ 300.00	\$ 1,800.00
Bank Service Charges	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 300.00
Computer and Internet Expenses	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 600.00
Dues and Subscriptions	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 450.00
Equipment Rental	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 1,200.00
Insurance Expense	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 2,100.00
Legal Fees	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Licenses & Permits	\$ 1,500.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 2,000.00
Office Supplies	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Paper Products	\$ 2,220.75	\$ 2,898.00	\$ 2,992.50	\$ 2,992.50	\$ 2,992.50	\$ 2,220.75	\$ 16,317.00
Professional Fees	\$ 400.00	\$ 400.00	\$ 400.00	\$ 400.00	\$ 400.00	\$ 400.00	\$ 2,400.00
Repairs and Maintenance	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 600.00
Printing & Reproduction	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Telephone Expense	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Uniforms	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 450.00
Utilities	\$ 650.00	\$ 650.00	\$ 650.00	\$ 650.00	\$ 650.00	\$ 650.00	\$ 3,900.00
Total Expense	\$ 6,870.75	\$ 6,148.00	\$ 6,242.50	\$ 6,242.50	\$ 6,242.50	\$ 5,470.75	\$ 37,217.00
Net Ordinary Income	\$ 12,385.20	\$ 19,352.30	\$ 20,228.00	\$ 20,228.00	\$ 20,228.00	\$ 13,785.20	\$ 106,206.70

2019

Ordinary Income/Expense

Income	May	June	July	August	September	October	Total
Food Sales	\$ 66,150.00	\$ 82,687.50	\$ 82,687.50	\$ 82,687.50	\$ 82,687.50	\$ 66,150.00	\$ 463,050.00
Beverage Sales	\$ 8,820.00	\$ 13,230.00	\$ 16,537.50	\$ 16,537.50	\$ 16,537.50	\$ 8,820.00	\$ 80,482.50
Italian Ice Sale	\$ 2,756.25	\$ 5,512.50	\$ 5,512.50	\$ 5,512.50	\$ 5,512.50	\$ 2,756.25	\$ 27,562.50
Total Income	\$ 77,726.25	\$ 101,430.00	\$ 104,737.50	\$ 104,737.50	\$ 104,737.50	\$ 77,726.25	\$ 571,095.00
Cost of Goods Sold - Variable							
Food Purchases 30%	\$ 19,845.00	\$ 24,806.25	\$ 24,806.25	\$ 24,806.25	\$ 24,806.25	\$ 19,845.00	\$ 138,915.00
Beverage Purchases 20%	\$ 1,764.00	\$ 2,646.00	\$ 3,307.50	\$ 3,307.50	\$ 3,307.50	\$ 1,764.00	\$ 16,096.50
Bar Consumables 4%	\$ 352.80	\$ 529.20	\$ 661.50	\$ 661.50	\$ 661.50	\$ 352.80	\$ 3,219.30
Italian Ice Purchases 15%	\$ 413.44	\$ 826.88	\$ 826.88	\$ 826.88	\$ 826.88	\$ 413.44	\$ 4,134.38
Salaries & Wages 20%	\$ 15,545.25	\$ 20,286.00	\$ 20,947.50	\$ 20,947.50	\$ 20,947.50	\$ 15,545.25	\$ 114,219.00
Payroll Tax Expenses 8.5%	\$ 1,321.35	\$ 1,724.31	\$ 1,780.54	\$ 1,780.54	\$ 1,780.54	\$ 1,321.35	\$ 9,708.62
Credit Card Processing 3%	\$ 2,331.79	\$ 3,042.90	\$ 3,142.13	\$ 3,142.13	\$ 3,142.13	\$ 2,331.79	\$ 17,132.85
Sales Tax Expense 10.25%	\$ 7,966.94	\$ 10,396.58	\$ 10,735.59	\$ 10,735.59	\$ 10,735.59	\$ 7,966.94	\$ 58,537.24
Restaurant Tax Expense .25%	\$ 194.32	\$ 253.58	\$ 261.84	\$ 261.84	\$ 261.84	\$ 194.32	\$ 1,427.74
Rent Expense 10%	\$ 7,772.63	\$ 10,143.00	\$ 10,473.75	\$ 10,473.75	\$ 10,473.75	\$ 7,772.63	\$ 57,109.50
Total COGS	\$ 57,507.50	\$ 74,654.69	\$ 76,943.48	\$ 76,943.48	\$ 76,943.48	\$ 57,507.50	\$ 420,500.12
Gross Profit	\$ 20,218.75	\$ 26,775.32	\$ 27,794.03	\$ 27,794.03	\$ 27,794.03	\$ 20,218.75	\$ 150,594.89
Expense							
Advertising and Promotion	\$ 250.00	\$ 250.00	\$ 250.00	\$ 250.00	\$ 250.00	\$ 250.00	\$ 1,500.00
Automobile Expense	\$ 300.00	\$ 300.00	\$ 300.00	\$ 300.00	\$ 300.00	\$ 300.00	\$ 1,800.00
Bank Service Charges	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 300.00
Computer and Internet Expenses	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 600.00
Dues and Subscriptions	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 450.00
Equipment Rental	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 200.00	\$ 1,200.00
Insurance Expense	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 2,100.00
Legal Fees	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Licenses & Permits	\$ 1,500.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 2,000.00
Office Supplies	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Paper Products	\$ 2,331.79	\$ 3,042.90	\$ 3,142.13	\$ 3,142.13	\$ 3,142.13	\$ 2,331.79	\$ 17,132.85
Professional Fees	\$ 400.00	\$ 400.00	\$ 400.00	\$ 400.00	\$ 400.00	\$ 400.00	\$ 2,400.00
Repairs and Maintenance	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 100.00	\$ 600.00
Printing & Reproduction	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Telephone Expense	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Uniforms	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 450.00
Utilities	\$ 650.00	\$ 650.00	\$ 650.00	\$ 650.00	\$ 650.00	\$ 650.00	\$ 3,900.00
Total Expense	\$ 6,981.79	\$ 6,292.90	\$ 6,392.13	\$ 6,392.13	\$ 6,392.13	\$ 5,581.79	\$ 38,032.85
Net Ordinary Income	\$ 13,236.96	\$ 20,482.42	\$ 21,401.90	\$ 21,401.90	\$ 21,401.90	\$ 14,636.96	\$ 112,562.04

Ordinary Income/Expense	2017	2018	2019
Income			
Food Sales	\$ 420,000.00	\$ 441,000.00	\$ 463,050.00
Beverage Sales	\$ 73,000.00	\$ 76,650.00	\$ 80,482.50
Italian Ice Sale	\$ 25,000.00	\$ 26,250.00	\$ 27,562.50
Total Income	<u>\$ 518,000.00</u>	<u>\$ 543,900.00</u>	<u>\$ 571,095.00</u>
Cost of Goods Sold - Variable			
Food Purchases	\$ 126,000.00	\$ 132,300.00	\$ 138,915.00
Beverage Purchases	\$ 18,250.00	\$ 15,330.00	\$ 16,096.50
Bar Consumables	\$ 2,920.00	\$ 3,066.00	\$ 3,219.30
Italian Ice Purchases	\$ 5,000.00	\$ 3,937.50	\$ 4,134.38
Salaries & Wages	\$ 103,600.00	\$ 108,780.00	\$ 114,219.00
Payroll Tax Expenses	\$ 8,806.00	\$ 9,246.30	\$ 9,708.62
Credit Card Processing	\$ 15,540.00	\$ 16,317.00	\$ 17,132.85
Sales Tax Expense	\$ 53,095.00	\$ 55,749.75	\$ 58,537.24
Restaurant Tax Expense	\$ 1,295.00	\$ 1,359.75	\$ 1,427.74
Rent Expense	\$ 51,800.00	\$ 54,390.00	\$ 57,109.50
Total COGS	<u>\$ 386,306.00</u>	<u>\$ 400,476.30</u>	<u>\$ 420,500.12</u>
Gross Profit	<u>\$ 131,694.00</u>	<u>\$ 143,423.70</u>	<u>\$ 150,594.89</u>
Expense			
Advertising and Promotion	\$ 3,000.00	\$ 1,500.00	\$ 1,500.00
Automobile Expense	\$ 1,800.00	\$ 1,800.00	\$ 1,800.00
Bank Service Charges	\$ 300.00	\$ 300.00	\$ 300.00
Computer and Internet Expenses	\$ 600.00	\$ 600.00	\$ 600.00
Dues and Subscriptions	\$ 450.00	\$ 450.00	\$ 450.00
Equipment Rental	\$ 1,200.00	\$ 1,200.00	\$ 1,200.00
Insurance Expense	\$ 2,100.00	\$ 2,100.00	\$ 2,100.00
Legal Fees	\$ 900.00	\$ 900.00	\$ 900.00
Licenses & Permits	\$ 2,000.00	\$ 2,000.00	\$ 2,000.00
Office Supplies	\$ 900.00	\$ 900.00	\$ 900.00
Paper Products	\$ 15,540.00	\$ 16,317.00	\$ 17,132.85
Professional Fees	\$ 2,400.00	\$ 2,400.00	\$ 2,400.00
Repairs and Maintenance	\$ 600.00	\$ 600.00	\$ 600.00
Printing & Reproduction	\$ 900.00	\$ 900.00	\$ 900.00
Telephone Expense	\$ 900.00	\$ 900.00	\$ 900.00
Uniforms	\$ 450.00	\$ 450.00	\$ 450.00
Utilities	\$ 3,900.00	\$ 3,900.00	\$ 3,900.00
Total Expense	<u>\$ 37,940.00</u>	<u>\$ 37,217.00</u>	<u>\$ 38,032.85</u>
Net Ordinary Income	<u>93,754.00</u>	<u>\$ 106,206.70</u>	<u>\$ 112,562.04</u>

	May	June	July	August	September	October	Total
Food Sales	\$ 60,000.00	\$ 75,000.00	\$ 75,000.00	\$ 75,000.00	\$ 75,000.00	\$ 60,000.00	\$ 420,000.00
Beverage Sales	\$ 8,000.00	\$ 12,000.00	\$ 15,000.00	\$ 15,000.00	\$ 15,000.00	\$ 8,000.00	\$ 73,000.00
Italian Ice Sale	\$ 2,500.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 2,500.00	\$ 25,000.00
	\$ 70,500.00	\$ 92,000.00	\$ 95,000.00	\$ 95,000.00	\$ 95,000.00	\$ 70,500.00	\$ 518,000.00

Food								
Price	\$9.00	\$9.00	\$9.00	\$9.00	\$9.00	\$9.00	\$9.00	\$9.00
Sales Tax	\$0.92	\$0.92	\$0.92	\$0.92	\$0.92	\$0.92	\$0.92	\$0.92
Total	\$9.92	\$9.92	\$9.92	\$9.92	\$9.92	\$9.92	\$9.92	\$9.92
Toal needed in month	6047	7559	7559	7559	7559	6047	42328	
Total needed per day	195	252	244	244	252	195	230	
Days in month	31	30	31	31	30	31	184	

Beverage								
Price	\$7.00	\$7.00	\$7.00	\$7.00	\$7.00	\$7.00	\$7.00	\$7.00
Sales Tax	\$0.72	\$0.72	\$0.72	\$0.72	\$0.72	\$0.72	\$0.72	\$0.72
Total	\$7.72	\$7.72	\$7.72	\$7.72	\$7.72	\$7.72	\$7.72	\$7.72
Toal needed in month	1037	1555	1944	1944	1944	1037	9459	
Total needed per day	33	52	63	63	65	33	51	
Days in month	31	30	31	31	30	31	184	

Italian Ice								
Price	\$4.54	\$4.54	\$4.54	\$4.54	\$4.54	\$4.54	\$4.54	\$4.54
Sales Tax	\$0.47	\$0.47	\$0.47	\$0.47	\$0.47	\$0.47	\$0.47	\$0.47
Total	\$5.01	\$5.01	\$5.01	\$5.01	\$5.01	\$5.01	\$5.01	\$5.01
Toal needed in month	499	999	999	999	999	499	4995	
Total needed per day	16	33	32	32	33	16	27	
Days in month	31	30	31	31	30	31	184	

Ordinary Income/Expense	May	June	July	August	September	October	Total
Income							
2 Hour Boat Tour	\$ 4,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 4,000.00	\$ 32,000.00
Fireworks	\$ 1,000.00	\$ 2,500.00	\$ 2,500.00	\$ 2,500.00	\$ 500.00		\$ 9,000.00
Playpen	\$ 1,500.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 3,200.00	\$ 24,700.00
Total Income	\$ 6,500.00	\$ 13,500.00	\$ 13,500.00	\$ 13,500.00	\$ 11,500.00	\$ 7,200.00	\$ 65,700.00
Cost of Goods Sold - Variable							
Gas 30%	\$ 1,950.00	\$ 4,050.00	\$ 4,050.00	\$ 4,050.00	\$ 3,450.00	\$ 2,160.00	\$ 19,710.00
Captain 30%	\$ 1,950.00	\$ 4,050.00	\$ 4,050.00	\$ 4,050.00	\$ 3,450.00	\$ 2,160.00	\$ 19,710.00
Amusement Tax 9%	\$ 585.00	\$ 1,215.00	\$ 1,215.00	\$ 1,215.00	\$ 1,035.00	\$ 648.00	\$ 5,913.00
Total COGS	\$ 4,485.00	\$ 9,315.00	\$ 9,315.00	\$ 9,315.00	\$ 7,935.00	\$ 4,968.00	\$ 45,333.00
Gross Profit	\$ 2,015.00	\$ 4,185.00	\$ 4,185.00	\$ 4,185.00	\$ 3,565.00	\$ 2,232.00	\$ 20,367.00
Expense							
Advertising and Promotion	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Bank Service Charges	\$ 25.00	\$ 25.00	\$ 25.00	\$ 25.00	\$ 25.00	\$ 25.00	\$ 150.00
Computer and Internet Expenses	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 75.00	\$ 450.00
Dues and Subscriptions	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 50.00	\$ 300.00
Insurance Expense	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 350.00	\$ 2,100.00
Legal	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Marketing	\$ 800.00	\$ 800.00	\$ 800.00	\$ 800.00	\$ 800.00	\$ 800.00	\$ 4,800.00
Repairs and Maintenance	\$ 350.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 1,100.00
Rental Slip	\$ 900.00	\$ 900.00	\$ 900.00	\$ 900.00	\$ 900.00	\$ 900.00	\$ 5,400.00
Telephone Expense	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 150.00	\$ 900.00
Uniforms	\$ 150.00	\$ 30.00	\$ 30.00	\$ 30.00	\$ 30.00	\$ 30.00	\$ 300.00
Total Expense	\$ 3,150.00	\$ 2,830.00	\$ 2,830.00	\$ 2,830.00	\$ 2,830.00	\$ 2,830.00	\$ 17,300.00
Net Ordinary Income	\$ (1,135.00)	\$ 1,355.00	\$ 1,355.00	\$ 1,355.00	\$ 735.00	\$ (598.00)	\$ 3,067.00

	May	June	July	August	September	October	Total				
2 Hour Boat Tour	\$ 4,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 6,000.00	\$ 4,000.00	\$ 32,000.00	10am-12pm	1pm-3pm	4pm-6pm	7pm-9pm
Fireworks	\$ 1,000.00	\$ 2,500.00	\$ 2,500.00	\$ 2,500.00	\$ 500.00		\$ 9,000.00	8:30pm-10:30pm	9:30-11:30pm		
Playpen	\$ 1,500.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 5,000.00	\$ 3,200.00	\$ 24,700.00	11am-2pm	11am-3pm	11am-4pm	
	\$ 6,500.00	\$ 13,500.00	\$ 13,500.00	\$ 13,500.00	\$ 11,500.00	\$ 7,200.00	\$ 65,700.00				

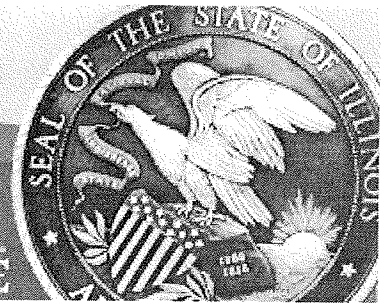
2 Hour Boat Tour								
Price	\$200.00	\$200.00	\$200.00	\$200.00	\$200.00	\$200.00	\$200.00	
Amusement Tax	\$18.00	\$20.50	\$20.50	\$20.50	\$20.50	\$20.50	\$20.50	
Total	\$218.00	\$220.50	\$220.50	\$220.50	\$220.50	\$220.50	\$220.50	
Total needed in month	18	27	27	27	27	18	145	
Total needed per day	1	1	1	1	1	1	1	
Days in month	31	30	31	31	30	31	184	

Fireworks								
Price	\$250.00	\$250.00	\$250.00	\$250.00	\$250.00	\$250.00	\$250.00	
Amusement Tax	\$22.50	\$25.63	\$25.63	\$25.63	\$25.63	\$25.63	\$25.63	
Total	\$272.50	\$275.63	\$275.63	\$275.63	\$275.63	\$275.63	\$275.63	
Total needed in month	4	9	9	9	2	0	33	
Total needed per day	0	0	0	0	0	0	0	
Days in month	31	30	31	31	30	31	184	

Playpen								
Price	\$800.00	\$800.00	\$800.00	\$800.00	\$800.00	\$800.00	\$800.00	
Amusement Tax	\$72.00	\$82.00	\$82.00	\$82.00	\$82.00	\$82.00	\$82.00	
Total	\$872.00	\$882.00	\$882.00	\$882.00	\$882.00	\$882.00	\$882.00	
Total needed in month	2	6	6	6	6	4	28	
Total needed per day	0	0	0	0	0	0	0	
Days in month	31	30	31	31	30	31	184	

City of Chicago Compensation
2017-2021

Direct Revenue	2017	2018	2019	2020	2021
Sales Tax (1.25% of Sales Tax)	\$ 6,475.00	\$ 6,669.25	\$ 6,899.33	\$ 7,106.31	\$ 7,319.50
Restaurant Tax (.25% of Sales)	\$ 1,295.00	\$ 1,333.85	\$ 1,373.87	\$ 1,415.08	\$ 1,416.32
Amusement Tax (9% of ticketed rides)	\$ 5,913.00	\$ 6,090.39	\$ 6,273.10	\$ 6,461.29	\$ 6,655.13
Rental Income/License Fee	\$ 51,800.00	\$ 54,390.00	\$ 57,109.50	\$ 58,822.79	\$ 60,587.47
Total Direct Revenue	\$ 65,483.00	\$ 68,483.49	\$ 71,655.79	\$ 73,805.47	\$ 75,978.42
Indirect Revenue					
Sales Tax: Purchases	\$ 1,902.13	\$ 1,907.84	\$ 1,965.08	\$ 2,024.03	\$ 2,030.10
Total Indirect Revenue	\$ 1,902.13	\$ 1,907.84	\$ 1,965.08	\$ 2,024.03	\$ 2,084.75
Total Revenue	\$ 67,385.13	\$ 70,391.33	\$ 73,620.87	\$ 75,829.50	\$ 78,063.16



CORPORATION FILE DETAIL REPORT

File Number	61838805		
Entity Name	ROME'S JOY CATERING, INC.		
Status	ACTIVE		
Entity Type	CORPORATION	Type of Corp	DOMESTIC BCA
Incorporation Date (Domestic)	09/14/2001	State	ILLINOIS
Agent Name	GREGORY E NEISTAT	Agent Change Date	08/22/2016
Agent Street Address	3201 OLD GLENVIEW RD STE 250	President Name & Address	CLIFFORD ROME 4455 S KING DR CHICAGO 60653
Agent City	WILMETTE	Secretary Name & Address	CLIFFORD ROME SAME
Agent Zip	60091	Duration Date	PERPETUAL
Annual Report Filing Date	08/09/2016	For Year	2016

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[Articles of Amendment Effecting A Name Change](#)
[Change of Registered Agent and/or Registered Office Address](#)

About Us

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Chef Clifford Rome developed an early interest in food after helping his grandmother prepare meals fresh from the garden of his childhood home in Chicago's Englewood neighborhood. He began his culinary career as a food operations manager at Midway Concessionaires and mastered the art of event planning through his experience with the Marriott Corporation. Chef Rome's career took a turn toward fine dining, working with renowned chefs Wolfgang Puck and François Kwaku-Dongo at Spago Restaurant, as a line chef and member of their catering team—creating meals for the social elite, commercial photo shoots and Oscar parties.

Today, Chef Rome's culinary experience spans the globe. While working at Spago, Chef Rome studied culinary arts in Paris. He would later serve as a culinary consultant on a privately-owned yacht, for over a year, while sailing the Caribbean. From 1998-2003, Chef Rome was one of five chefs selected to work at the Cannes International Film Festival's American Pavilion—alongside master chefs Roger Verge, Bobby Flay, Mario Batali and Todd English. In 2002, Chef Rome founded Rome's Joy Companies, which boasts a business portfolio that includes two restaurants, a fullservice catering and event-planning company, a fine art gallery and the Parkway Ballroom—a historic banquet facility located in the heart of Chicago's Bronzeville community.

With more than 20 years of experience in the field of food service, Chef Rome has received numerous awards and recognition from local, national and international media alike. This includes frequent television appearances as well as features in Chicago Sun-Times, The Washington Post, Black Enterprise magazine to name a few.

Chef Rome is committed to promoting African American participation in the culinary industry. He has partnered with Culinary Through Culinary Arts Program (CCAP), After School Matters, Healthy Schools Campaign, YMCA, Education to Careers and Washburne Culinary School (the oldest culinary school in the country), where he serves as Board President. Through these partnerships, Chef Rome has encouraged African American youth to pursue careers in the culinary field.

Rome's Joy Companies is located at 4455 S. King Drive (Suite 2) in Chicago, IL 60653. Chef Rome can be reached at 773-373-4320 or via email at cliff@romesjoy.com.

Illinois Business Authorization

ROMES JOY CATERING INC

Loc. Code: 016-0001-1-001

Chicago (Cook)

Cook County

4455 S KING DR
CHICAGO IL 60653-3310

Expiration Date:

1/31/2018

Certificate of Registration

Sales and use taxes and fees



 91
Director
DEPARTMENT OF REVENUE
Issued Date: 01/31/2013

IDOR-50-A (N-01/07)
IL-492-4163

P-002520

CITY OF CHICAGO

LICENSE CERTIFICATE NON-TRANSFERABLE

BY THE AUTHORITY OF THE CITY OF CHICAGO, THE FOLLOWING SPECIFIED LICENSE IS HEREBY GRANTED TO

NAME: ROME'S JOY CATERING, INC.

PRINTED ON:
04/16/2015

DBA: ROME'S JOY CATERING INC.
AT: 4455 S. DR MARTIN LUTHER KING JR DR.
CHICAGO, IL 60653
1st floor

LICENSE NO.: [REDACTED]
LICENSE: Retail Food Establishment
2000 Sq. Ft.

CODE: 1006

FEE: \$****660.00

PRESIDENT: CLIFFORD ROME
SECRETARY: CLIFFORD ROME

This license is a privilege granted and not a property right. This license is the property of the City of Chicago.

THIS LICENSE IS ISSUED AND ACCEPTED SUBJECT TO THE REPRESENTATIONS MADE ON THE APPLICATION THEREFOR, AND MAY BE SUSPENDED OR REVOKED FOR CAUSE AS PROVIDED BY LAW. LICENSEE SHALL OBSERVE AND COMPLY WITH ALL LAWS, ORDINANCES, RULES AND REGULATIONS OF THE UNITED STATES GOVERNMENT, STATE OF ILLINOIS, COUNTY OF COOK, CITY OF CHICAGO AND ALL AGENCIES THEREOF.

WITNESS THE HAND OF THE MAYOR OF SAID CITY AND THE CORPORATE SEAL THEREOF
THIS 15 DAY OF APRIL 2015

EXPIRATION DATE: April 15, 2017

ATTEST:

Rahm Emanuel
ACCOUNT NO. 257535
TRANS NO. [REDACTED]
SHE MAYOR

Susana Mendez
CITY CLERK

THIS LICENSE MUST BE POSTED IN A CONSPICUOUS PLACE UPON THE LICENSED PREMISES.

CITY OF CHICAGO

LICENSE CERTIFICATE NON-TRANSFERABLE

BY THE AUTHORITY OF THE CITY OF CHICAGO, THE FOLLOWING SPECIFIED LICENSE IS HEREBY GRANTED TO

NAME: ROME'S JOY CATERING, INC.

PRINTED ON:
04/16/2015

DBA, ROME'S JOY CATERING INC.
AT 4455 S. DR MARTIN LUTHER KING JR DR.
CHICAGO, IL 60652
2nd floor

LICENSE NO: [REDACTED] CODE: 1481

FEE: \$**2,200.00

LICENSE: Caterer's Liquor License

Offsite Service Permitted

Liquor Liability Insurance Must Be Kept On The Premises At All Times

PRESIDENT: CLIFFORD ROME

SECRETARY: CLIFFORD ROME

This license is a privilege granted and not a property right. This license is the property of the City of Chicago.

ISSUED BY THE MAYOR AS THE LOCAL LIQUOR CONTROL COMMISSIONER

THIS LICENSE IS ISSUED AND ACCEPTED SUBJECT TO THE REPRESENTATIONS MADE ON THE APPLICATION THEREFOR, AND MAY BE SUSPENDED OR REVOKED FOR CAUSE AS PROVIDED BY LAW. LICENSEE SHALL OBSERVE AND COMPLY WITH ALL LAWS, ORDINANCES, RULES AND REGULATIONS OF THE UNITED STATES GOVERNMENT, STATE OF ILLINOIS, COUNTY OF COOK, CITY OF CHICAGO AND ALL AGENCIES THEREOF.

WITNESS THE HAND OF THE MAYOR OF SAID CITY AND THE CORPORATE SEAL THEREOF
THIS 15 DAY OF APRIL, 2015

EXPIRATION DATE

April 15, 2017

ATTEST:

Rahm Emanuel

Susana Mendez

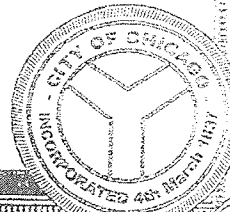
ACCOUNT NO.
TRANS NO.

257535

SIE: MAYOR

CITY CLERK

THIS LICENSE MUST BE POSTED IN A CONSPICUOUS PLACE UPON THE LICENSED PREMISES.



CITY OF CHICAGO

LICENSE CERTIFICATE NON-TRANSFERABLE

BY THE AUTHORITY OF THE CITY OF CHICAGO, THE FOLLOWING SPECIFIED LICENSE IS HEREBY GRANTED TO

NAME: ROME'S JOY CATERING, INC.

PRINTED ON:
04/16/2015

DSA: ROME'S JOY CATERING INC.
AT: 4455 S. DR MARTIN LUTHER KING JR DR.
CHICAGO, IL 60653
2nd floor Occupancy=299=

LICENSE NO. [REDACTED] CODE 1475 FEE \$**4,400.00
LICENSE: Consumption on Premises - Incidental Activity
Liquor Liability Insurance Must Be Kept On The Premises At All Times.
PRESIDENT: CLIFFORD ROME
SECRETARY: CLIFFORD ROME

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ISSUED BY THE MAYOR AS THE LOCAL LIQUOR CONTROL COMMISSIONER

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WITNESS THE HAND OF THE MAYOR OF SAID CITY AND THE CORPSEAL THEREOF
THIS 15 DAY OF APRIL, 2015

ATTEST:

EXPIRATION DATE:

April 15, 2017

Rahn Emanuel

Susana F. Mendez

ACCOUNT NO. 257535 SITE: MAYOR
TRANS NO.

CITY CLERK

THIS LICENSE MUST BE POSTED IN A CONSPICUOUS PLACE UPON THE LICENSED PREMISES.

ZENON MCHUGH

**Tax Accountant | IRS Enrolled Agent, Masters In Taxation, and Small Business
Consultant in Chicago, Illinois**

Visit my company website

Zenon (Zen) McHugh is a Small Business Tax Expert. His expertise in Small Business Tax comes from 10+ years of workplace experience as a Tax Accountant & Operations Manager for a small business accounting firm.

He handles tax controversy and resolution cases by means of tax notices, amended returns, audit representation, and more. His experience as a Tax Planner and as a Financial Analyst includes serving individual and business clients alike.

FEDERALLY LICENSED ENROLLED AGENT (EA) TAX PRACTITIONER

As a federally licensed Enrolled Agent (EA) tax practitioner, he is empowered by the U.S. Department of the Treasury to represent taxpayers before all administrative levels—examination, collection, and appeals—of the Internal Revenue Service.

As an Enrolled Agent, he can help clients manage the stress that comes with having to resolve issues with the IRS, especially when they are demanding money they don't owe!

TAX ACCOUNTING

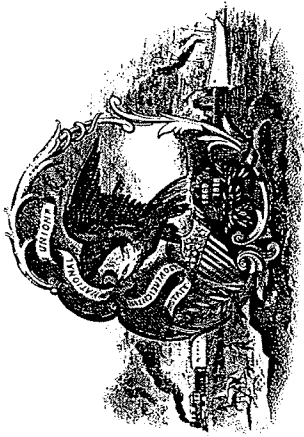
Zen's knowledge of Small Business Accounting includes a firm understanding of how tax regulations work at federal, state and local levels.

He is an accomplished tax accountant with expertise in bookkeeping, reconciliations, financial statements, sales/payroll/income taxes, forensic accounting, mergers & acquisitions, new business startup, tax planning, business plans, marketing plans, business development, procedures & policies and any other small business accounting matter.

What sets Zen apart from other accountants is his personal experience in running and operating small businesses himself. He grew up surrounded by 2 family businesses and has started, funded and operated two of his own ventures. Because of his personal experience, he is able to understand client needs and wants. Developing personal relationships in order to create a partnership which allows both to grow.

Zenon received his Bachelor's in Accounting from DePaul University and his Master's in Taxation from the University of Illinois. He was born & raised in Chicago's Midway area and now resides in the Taylor Street neighborhood. He currently is the Tax & Operations Manager for D'Andrea & Associates, Ltd, a Business, Tax and Financial advisory firm.

- [#smallbusinessstaxaccounting](#)
 - [#projectmanagement](#)
 - [#businessdevelopment](#)
 - [#taxplanning](#)
 - WORK
 - D'Andrea & Associates
 - EDUCATION
 - DePaul University
 - University of Illinois Urbana-Champaign



By authority of the Board of Trustees of the

UNIVERSITY OF ILLINOIS

and upon recommendation of the Senate

at Urbana - Champaign

Zenon J McHugh

has been admitted to the Degree of

Master of Science in Taxation

and is entitled to all rights and honors thereto appertaining
Witness the Seal of the University and the Signatures of its Officers
this fourteenth day of May, two thousand and sixteen.



Edward J. McLean
Chair of the Board of Trustees

Timothy L. Killen
President of the University of Illinois

Susan M. Kiss
Secretary of the Board of Trustees

Buy With
Interim Chancellor, University of Illinois at Urbana-Champaign
Interim Vice President, University of Illinois



DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE

ENROLLED AGENT

ZENON MCHUGH

has met the requirements to become an enrolled agent. Enrolled agents demonstrate significant tax knowledge either by passing a comprehensive test or by virtue of specific experience gained as an IRS employee.

Enrolled agents are granted unrestricted rights to represent taxpayers before the Internal Revenue Service, demonstrate special competence in tax matters, adhere to ethical standards, and are required to stay current with tax law and regulations by completing a minimum amount of continuing education annually.

GRANTED ON THIS DAY:

May 21, 2013

EA# 00109124



Steven T. Miller

Steven T. Miller
Acting Commissioner, Internal Revenue Service



Department of the Treasury
Internal Revenue Service - Return Preparer Office
Special Enrollment Examination Results

Candidate Name: ZENON MCHUGH
Candidate Number: P00967898
Part: Special Enrollment Examination Part 1 - Individuals
Test Date: January 30, 2013
Test Center: 0034

Congratulations! You were successful in achieving a passing score on the Special Enrollment Examination Part 1 - Individuals.

Candidates that passed the test are not provided with a score, but your score was within the passing score range from 105 – 130.

Upon passing all parts of the examination you will be eligible to apply for enrollment to practice before the Internal Revenue Service. You must complete Form 23, *Application for Enrollment Before the Internal Revenue Service* and file it with the Internal Revenue Service. The form is available at www.irs.gov/taxpros/agents. You must include a check for \$30 (payable to the Internal Revenue Service) and a copy of this score report, along with passing score reports for all other parts of the examination. You have one year to apply for enrollment once you have passed all parts of the examination.

If you have any questions about the examination, please visit www.prometric.com/SEE or call 1-800-306-3926. If you have any questions about enrollment, please refer to www.irs.gov/taxpros/agents.

Congratulations on this achievement.

KS
30/13



Department of the Treasury
Internal Revenue Service - Return Preparer Office
Special Enrollment Examination Results

Candidate Name: ZENON MCHUGH
Candidate Number: P00967898
Part: Special Enrollment Examination Part 2 - Businesses
Test Date: February 19, 2013
Test Center: 0036

Congratulations! You were successful in achieving a passing score on the Special Enrollment Examination Part 2 - Businesses.

Candidates that passed the test are not provided with a score, but your score was within the passing score range from 105 – 130.

Upon passing all parts of the examination you will be eligible to apply for enrollment to practice before the Internal Revenue Service. You must complete Form 23, *Application for Enrollment Before the Internal Revenue Service* and file it with the Internal Revenue Service. The form is available at www.irs.gov/taxpros/agents. You must include a check for \$30 (payable to the Internal Revenue Service) and a copy of this score report, along with passing score reports for all other parts of the examination. You have one year to apply for enrollment once you have passed all parts of the examination.

If you have any questions about the examination, please visit www.prometric.com/SEE or call 1-800-306-3926. If you have any questions about enrollment, please refer to www.irs.gov/taxpros/agents.

Congratulations on this achievement.

TP
2/19/13



Department of the Treasury
Internal Revenue Service - Return Preparer Office
Special Enrollment Examination Results

Candidate Name: ZENON MCHUGH

Candidate Number: P00967898

Part: Special Enrollment Examination Part 3 - Representation, Practices and Procedures

Test Date: February 22, 2013

Test Center: 5230

Congratulations! You were successful in achieving a passing score on the Special Enrollment Examination Part 3 - Representation, Practices and Procedures.

Candidates that passed the test are not provided with a score, but your score was within the passing score range from 105 – 130.

Upon passing all parts of the examination you will be eligible to apply for enrollment to practice before the Internal Revenue Service. You must complete Form 23, *Application for Enrollment Before the Internal Revenue Service* and file it with the Internal Revenue Service. The form is available at www.irs.gov/taxpros/agents. You must include a check for \$30 (payable to the Internal Revenue Service) and a copy of this score report, along with passing score reports for all other parts of the examination. You have one year to apply for enrollment once you have passed all parts of the examination.

If you have any questions about the examination, please visit www.prometric.com/SEE or call 1-800-306-3926. If you have any questions about enrollment, please refer to www.irs.gov/taxpros/agents.

Congratulations on this achievement.

VR
2-22-13

EXHIBIT 2 - COMPANY PROFILE INFORMATION

Submit a completed company profile information sheet for prime, each joint venture partner and subcontractor(s), as applicable.

- (1) Legal Name of Firm: Rome's Joy Catering, Inc.
- (2) Doing Business under Other Company Name?
If yes, Name of Company: _____
- (3) Headquarters Address: 4455 S Martin Luther King Dr
- (4) City, State, Zip Code: Chicago, IL 60615
- (5) Web Site Address: www.romesjoy.com
- (6) Proposed Role: ☒ Prime ☐ Subcontractor/Subcontractor ☐ Joint Venture Partner
☐ Supplier or ☐ Other: _____
- (7) Number of Years in Business: 15 years
- (8) Total Number of Employees: 7
- (9) Total Annual Revenues separated by last 3 full fiscal years:

- (10) Total Number of Active Contracts with Government Entity: 0
List Name of Government Entity:

- (11) Total Number of Active Contracts Valued at \$5 million annually or greater
0

EXHIBIT 3 - COMPANY REFERENCES/CLIENT PROFILE INFORMATION

Submit a completed client profile information sheet for each company reference. Provide a minimum of 2 references.

Company providing reference:	Sysco Foods
Contact name and title/position	Richard Williams, Marketing Associate
Contact telephone number	312-217-1403
Contact e-mail address	williams.richards@chi.sysco.com

QUESTIONS:

1. In what capacity have you worked with this firm in the past?

COMMENTS:

Cliff Rome/Rome's Joy has been ac account of mine of over a year

2. How would you rate this firm's knowledge and expertise?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

3

3. How would you rate the firm's flexibility relative to changing requirements and emergent needs?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

3

4. How would you rate the dynamics/interaction between the firm and your staff?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

3

5. How satisfied are you with the products provided by the firm?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS: 3

6. What is your level of satisfaction with reporting materials produced by the firm?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS: 3

7. With which aspect(s) of this firm's services are you most satisfied?

COMMENTS: Professionalism and organization

8. With which aspect(s) of this firm's services are you least satisfied?

COMMENTS: n/a

9. Would you recommend this firm's services to your organization again?

COMMENTS: Absolutely

EXHIBIT 3 - COMPANY REFERENCES/CLIENT PROFILE INFORMATION

Submit a completed client profile information sheet for each company reference. Provide a minimum of 2 references.

Company providing reference:	Charity Contributors of Chicago
Contact name and title/position	Reginald L. Cotton/Founder
Contact telephone number	708-646-6992
Contact e-mail address	rcotton@charitycontributors.com

QUESTIONS:

1. In what capacity have you worked with this firm in the past?

COMMENTS:

I have been working with Cliff for years, he has always maintained a great product, that is timely and professional.

2. How would you rate this firm's knowledge and expertise?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

Cliff and his team are very knowledgeable and that is what keeps us coming back - 3

3. How would you rate the firm's flexibility relative to changing requirements and emergent needs?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

Due to our organization, many times we are in a time crunch and somehow Cliff always pulls it off - 3

4. How would you rate the dynamics/interaction between the firm and your staff?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

3 - Cliff and his team make you feel like you are part of their family and make it incredibly easy to interact with.

5. How satisfied are you with the products provided by the firm?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

Extremely Satisfied - 3

6. What is your level of satisfaction with reporting materials produced by the firm?

(3 = Excellent; 2 = Satisfactory; 1 = Unsatisfactory; 0 = Unacceptable)

COMMENTS:

Excellent - 3

7. With which aspect(s) of this firm's services are you most satisfied?

COMMENTS:

Cliff's ability to help those in need and engage in doing good for others

8. With which aspect(s) of this firm's services are you least satisfied?

COMMENTS:

n/a

9. Would you recommend this firm's services to your organization again?

COMMENTS:

I always do.

Chicago Zen
City of Chicago Compensation
2017-2021

<u>Direct Revenue</u>	2017	2018	2019	2020	2021
Sales Tax (1.25% of Sales Tax)	\$ 6,475.00	\$ 6,669.25	\$ 6,899.33	\$ 7,106.31	\$ 7,319.50
Restaurant Tax (.25% of Sales)	\$ 1,295.00	\$ 1,333.85	\$ 1,373.87	\$ 1,415.08	\$ 1,416.32
Amusement Tax (9% of ticketed rides)	\$ 5,913.00	\$ 6,090.39	\$ 6,273.10	\$ 6,461.29	\$ 6,655.13
Rental Income/License Fee	\$ 51,800.00	\$ 54,390.00	\$ 57,109.50	\$ 58,822.79	\$ 60,587.47
Total Direct Revenue	\$ 65,483.00	\$ 68,483.49	\$ 71,655.79	\$ 73,805.47	\$ 75,978.42
<u>Indirect Revenue</u>					
Sales Tax: Purchases	\$ 1,902.13	\$ 1,907.84	\$ 1,965.08	\$ 2,024.03	\$ 2,030.10
Total Indirect Revenue	\$ 1,902.13	\$ 1,907.84	\$ 1,965.08	\$ 2,024.03	\$ 2,084.75
Total Revenue	\$ 67,385.13	\$ 70,391.33	\$ 73,620.87	\$ 75,829.50	\$ 78,063.16

EXHIBIT 4 – SCHEDULE OF COMPENSATION

COMPENSATION

Three Year Term	License Fee	% Additional Fee	Estimated Gross Revenues	Estimated Additional Fee	Estimated Operating Costs	Total Fee
Year One:	\$51,800		\$518,000			
Year Two:	\$54,390		\$543,900			
Year Three:	\$57,110		\$571,095			
Extension Year 1:	\$58,823		\$588,227			
Extension Year 2:	\$60,587		\$605,875			

Ten Year Term:	License Fee	% Additional Fee	Estimated Gross Revenues	Estimated Additional Fee	Estimated Operating Costs	Total Fee
Year One:						
Year Two:						
Year Three:						
Year Four:						
Year Five:						
Year Six:						
Year Seven:						
Year Eight:						
Year Nine:						
Year Ten:						
Extension 1 Year One:						
Extension 1 Year Two:						
Extension 1 Year Three:						
Extension 1 Year Four:						
Extension 1 Year Five:						
Extension 2 Year One:						
Extension 2 Year Two:						
Extension 2 Year Three:						
Extension 2 Year Four:						
Extension 2 Year Five:						

Estimated Cost of Site Improvements: _____
Itemized list of cost improvements per discipline required (e.g. electrical, structural, plumbing etc.)

Actual Cost of Site Improvements: _____

Accountant

D'Andrea & Associates, Ltd.

53 W. Jackson Blvd., Ste, 260
Chicago, IL 60604
312-341-9999

Architect

Gregory Roman Design Studio, Inc.

PO Box 16272
Chicago, IL 60616
773-234-4737

Accreditations - MBE, DBE, ACDBE, SBE. Small Disadvantaged Business, Section 3 Business & HubZone Certified Business

Attorney

Daley & Georges, Ltd.

20 S Clark., Ste. 400
Chicago, IL 60603
312-726-8797

Accreditations- WBE

Contractors

Prestige Concrete Contractors of Chicago

3240 W Irving Park Rd
Chicago, IL 60618
312-271-4599

Accreditations- WBE

W&W Plumbing, Water & Sewer Co.

512 E Sibley Blvd
Harvey, IL 60426
708-903-5336

Accreditations- MBE

Manor Electric Co.

4419 S. Karlov Ave
Chicago IL 60632
773-218-0063

Computers Nationwide, LLC

225 Larkin Drive
Wheeling, IL 60090
847-419-9900

Schedule C-1: Letter of Intent From MBE/WBE To Perform As Subcontractor, Supplier and/or Consultant



SCHEDULE C-1
MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant

FOR
NON-CONSTRUCTION
PROJECTS ONLY

Project Name: Riverwalk Concession Specification No.: 329656

From: Gregory Ramon Design Studio, Inc.
(Name of MBE/WBE Firm)

To: Rome's Joy Catering, Inc. and the City of Chicago.
(Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago or Cook County, Illinois Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:

Architecture & Green / Stainability Consulting

The above described performance is offered for the following price and described terms of payment:
TBD

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.

_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to non MBE/WBE contractors.

_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the MBE or WBE scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

The undersigned has entered into a formal written mentor protégé agreement as a subcontractor/protégé with you as a Prime Contractor/mentor: () Yes (X) No

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.

Gregory R. Williams 3/7/2017
(Signature of President/Owner/CEO or Authorized Agent of MBE/WBE) (Date)

Gregory R. Williams / President
(Name/Title-Please Print)

gregw@gregoryramon.com / 773-234-4737
(Email & Phone Number)

Schedule C-1: Letter of Intent From MBE/WBE To Perform As Subcontractor, Supplier and/or Consultant



SCHEDULE C-1

**MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant**

FOR
NON-CONSTRUCTION
PROJECTS ONLY

Project Name: Riverwalk Concession Specification No.: 329656

From: Daley & Georges, Ltd.
(Name of MBE/WBE Firm)

To: Rome's Joy Catering, Inc. and the City of Chicago.
(Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago or Cook County, Illinois Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:
Legal & Consulting

The above described performance is offered for the following price and described terms of payment:
Billable Hours

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.

_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to non MBE/WBE contractors.

_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the MBE or WBE scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

The undersigned has entered into a formal written mentor protégé agreement as a subcontractor/protégé with you as a Prime Contractor/mentor: () Yes () No

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.

Mara Georges 3-7-17
(Signature of President/Owner/CEO or Authorized Agent of MBE/WBE) (Date)

Mara Georges, President
(Name/Title-Please Print)

mgeorges@daleygeorges.com 312-726-8797
(Email & Phone Number)

Schedule C-1: Letter of Intent From MBE/WBE To Perform As Subcontractor, Supplier and/or Consultant



SCHEDULE C-1
MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant

FOR
NON-CONSTRUCTION
PROJECTS ONLY

Project Name: Riverwalk Concession Specification No.: 329656

From: W&W Plumbing, Water, Sewer Co.
(Name of MBE/WBE Firm)

To: Rome's Joy Catering, Inc and the City of Chicago.
(Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago or Cook County, Illinois Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:
Legal & Consulting

The above described performance is offered for the following price and described terms of payment:
Billable Hours

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.

_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to non MBE/WBE contractors.

_____ % of the dollar value of the MBE or WBE subcontract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the MBE or WBE scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

The undersigned has entered into a formal written mentor protégé agreement as a subcontractor/protégé with you as a Prime Contractor/mentor: () Yes ☒ No

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.

Edgar Weathersby 03/07/2017
(Signature of President/Owner/CEO or Authorized Agent of MBE/WBE) (Date)

Edgar Weathersby, President
(Name/Title-Please Print)

wwplumbing@sbcglobal.net 708-903-5336
(Email & Phone Number)

08/2013

Page 1 of 1

Schedule C-1: Letter of Intent From MBE/WBE To Perform As Subcontractor, Supplier and/or Consultant



SCHEDULE C-1
MBE/WBE Letter of Intent to Perform as a
Subcontractor, Supplier, or Consultant

FOR
NON-CONSTRUCTION
PROJECTS ONLY

Project Name: Riverwalk Concession Specification No.: 329656

From: Prestige Concrete Contractors of Chicago, Inc
(Name of MBE/WBE Firm)

To: Rome's Joy Catering, Inc and the City of Chicago.
(Name of Prime Contractor)

The MBE or WBE status of the undersigned is confirmed by the attached City of Chicago or Cook County, Illinois Certification Letter. 100% MBE or WBE participation is credited for the use of a MBE or WBE "manufacturer." 60% participation is credited for the use of a MBE or WBE "regular dealer."

The undersigned is prepared to perform the following services in connection with the above named project/contract. If more space is required to fully describe the MBE or WBE proposed scope of work and/or payment schedule, including a description of the commercially useful function being performed. Attach additional sheets as necessary:
Construction

The above described performance is offered for the following price and described terms of payment:
Quotes & Proposals

SUB-SUBCONTRACTING LEVELS

A zero (0) must be shown in each blank if the MBE or WBE will not be subcontracting any of the work listed or attached to this schedule.

 % of the dollar value of the MBE or WBE subcontract that will be subcontracted to non MBE/WBE contractors.

 % of the dollar value of the MBE or WBE subcontract that will be subcontracted to MBE or WBE contractors.

NOTICE: If any of the MBE or WBE scope of work will be subcontracted, list the name of the vendor and attach a brief explanation, description and pay item number of the work that will be subcontracted. MBE/WBE credit will not be given for work subcontracted to Non-MBE/WBE contractors, except for as allowed in the Special Conditions Regarding Minority Business Enterprise Commitment and Women Business Enterprise Commitment.

The undersigned will enter into a formal written agreement for the above work with you as a Prime Contractor, conditioned upon your execution of a contract with the City of Chicago, within three (3) business days of your receipt of a signed contract from the City of Chicago.

The undersigned has entered into a formal written mentor protégé agreement as a subcontractor/protégé with you as a Prime Contractor/mentor: () Yes (X) No

NOTICE: THIS SCHEDULE AND ATTACHMENTS REQUIRE ORIGINAL SIGNATURES.

Velda Davino 03-06-17
(Signature of President/Owner/CEO or Authorized Agent of MBE/WBE) (Date)

Velda Davino, President
(Name/Title-Please Print)

vdavino11@yahoo.com 312-217-4599
(Email & Phone Number)

FOR
NON-CONSTRUCTION
PROJECTS ONLY

Contact Person: Mara Georges

Page 63

Phone Number: 312-726-8797

Dollar Value of Participation \$

Percentage of Participation %

Mentor Protégé Agreement (attach executed copy): () Yes (X) No Add'l Percentage Claimed: %

Total Participation %

- ## II. Indirect Participation of MBE/WBE Firms

1. Name of MBE/WBE: _____
Address: _____
Contact Person: _____

Schedule D-1: Prime Contractor Affidavit-MBE/WBE Compliance Plan

Phone Number: _____

Dollar Value of Participation \$ _____

Percentage of Participation % _____

Mentor Protégé Agreement (attach executed copy): () Yes () No Add'l Percentage Claimed: ____%

Total Participation % _____

2. Name of MBE/WBE: _____

Address: _____

Contact Person: _____

Phone Number: _____

Dollar Value of Participation \$ _____

Percentage of Participation % _____

Mentor Protégé Agreement (attach executed copy): () Yes () No Add'l Percentage Claimed: ____%

Total Participation % _____

3. Name of MBE/WBE: _____

Address: _____

Contact Person: _____

Phone Number: _____

Dollar Value of Participation \$ _____

Percentage of Participation % _____

Mentor Protégé Agreement (attach executed copy): () Yes () No Add'l Percentage Claimed: ____%

Total Participation % _____

4. Name of MBE/WBE: _____

Address: _____

Contact Person: _____

Phone Number: _____

Dollar Value of Participation \$ _____

Percentage of Participation % _____

Mentor Protégé Agreement (attach executed copy): () Yes () No Add'l Percentage Claimed: ____%

Total Participation % _____

5. Attach Additional Sheets as Needed

Schedule D-1: Prime Contractor Affidavit-MBE/WBE Compliance Plan

III. Summary of MBE/WBE Proposal

A. MBE Proposal (Direct & Indirect)

1. MBE Direct Participation

MBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
Gregory Ramon Design Studio, Inc	TBD	TBD
W&W Plumbing, Water & Sewer Co	TBD	TBD
Total Direct MBE Participation		

2. MBE Indirect Participation

MBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
Total Indirect MBE Participation		

B. WBE Proposal (Direct & Indirect)

1. WBE Direct Participation

WBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
Daley & Georges, Ltd	TBD	TBD
Prestige Concrete Contractors of Chi	TBD	TBD
Total Direct WBE Participation		

2. WBE Indirect Participation

WBE Firm Name	Dollar Amount Participation (\$)	Percent Amount Participation (%)
Total Indirect WBE Participation		

Schedule D-1: Prime Contractor Affidavit-MBE/WBE Compliance Plan

The Prime Contractor designates the following person as its MBE/WBE Liaison Officer:

Zenon McHugh

312-344-1001

(Name- Please Print or Type)

(Phone)

I DO SOLEMNLY DECLARE AND AFFIRM UNDER PENALTIES OF PERJURY THAT THE CONTENTS OF THE FOREGOING DOCUMENT ARE TRUE AND CORRECT, THAT NO MATERIAL FACTS HAVE BEEN OMITTED, AND THAT I AM AUTHORIZED ON BEHALF OF THE PRIME CONTRACTOR TO MAKE THIS AFFIDAVIT.

Rome's Joy Catering, Inc

(Name of Prime Contractor – Print or Type)

State of: Illinois

County of: Cook

(Signature)

Zenon McHugh / Authorized Representative

(Name/Title of Affiant – Print or Type)

03/07/2017

(Date)

On this ____ day of _____, 20____, the above signed officer

(Name of Affiant)

personally appeared and, known by me to be the person described in the foregoing Affidavit, acknowledged that (s)he executed the same in the capacity stated therein and for the purposes therein contained.

IN WITNESS WHEREOF, I hereunto set my hand and seal.

(Notary Public Signature)

SEAL:

Commission Expires: _____

INSURANCE REQUIREMENTS
Department of Fleet and Facility Management

Concessions on the Chicago Riverwalk
Food Vendors

A. INSURANCE REQUIRED

Vendor must provide and maintain at Vendor's own expense, during the term of the Agreement and during the time period following expiration if Vendor is required to return and perform any work, services, or operations, the insurance coverages and requirements specified below, insuring all work, services, or operations related to the Agreement.

- 1) **Workers Compensation and Employers Liability (Primary and Umbrella)**
Workers Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under this Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident; \$500,000 disease-policy limit and \$500,000 disease-each employee, or the full per occurrence limits of the policy, whichever is greater.

Vendor may use a combination of primary and excess/umbrella policy/policies to satisfy the limits of liability required herein. The excess/umbrella policy/policies must provide the same coverages/follow form as the underlying policy/policies.

- 2) **Commercial General Liability (Primary and Umbrella)**
Commercial General Liability Insurance or equivalent must be maintained with limits of not less than \$1,000,000 per occurrence, or the full per occurrence limits of the policy, whichever is greater, for bodily injury, personal injury, and property damage liability. Coverages must include but not be limited to the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability (not to include Endorsement CG 21 39 or equivalent).

The City must be provided additional insured status with respect to liability arising out of Vendor's work, services or operations performed on behalf of the City. The City's additional insured status must apply to liability and defense of suits arising out of Vendor's acts or omissions, whether such liability is attributable to the Vendor or to the City on an additional insured endorsement form acceptable to the City. The full policy limits and scope of protection also will apply to the City as an additional insured, even if they exceed the City's minimum limits required herein. Vendor's liability insurance must be primary without right of contribution by any other insurance or self-insurance maintained by or available to the City.

Vendor may use a combination of primary and excess/umbrella policy/policies to satisfy the limits of liability required herein. The excess/umbrella policy/policies must provide the same coverages/follow form as the underlying policy/policies.

- 3) Automobile Liability (Primary and Umbrella)
When any motor vehicles (owned, non-owned and hired) are used in connection with work, services, or operations to be performed, Automobile Liability Insurance must be maintained by the Vendor with limits of not less than \$500,000 per occurrence, or the full per occurrence limits of the policy, whichever is greater, for bodily injury and property damage and covering the ownership, maintenance, or use of any auto whether owned, leased, non-owned or hired used in the performance of the work or services. The City is to be added as an additional insureds on a primary, non-contributory basis.

Vendor may use a combination of primary and excess/umbrella policy/policies to satisfy the limits of liability required herein. The excess/umbrella policy/policies must provide the same coverages/follow form as the underlying policy/policies.

- 4) Excess/Umbrella
Excess/Umbrella Liability Insurance must be maintained with limits of not less than \$1,000,000 per occurrence, or the full per occurrence limits of the policy, whichever is greater. The policy/policies must provide the same coverages/follow form as the underlying Commercial General Liability, Automobile Liability, Employers Liability and Completed Operations coverage required herein and expressly provide that the excess or umbrella policy/policies will drop down over reduced and/or exhausted aggregate limit, if any, of the underlying insurance. The Excess/Umbrella policy/policies must be primary without right of contribution by any other insurance or self-insurance maintained by or available to the City.

Vendor may use a combination of primary and excess/umbrella policies to satisfy the limits of liability required in sections A.1, A.2, A.3 and A.4 herein.

- 5) Liquor Liability
When applicable, Liquor Liability ("Dram Shop") Insurance must be obtained with limits of not less than \$1,000,000 per occurrence. Coverage must include but not be limited to the following: off-site coverage, assault and battery coverage, and common law. The City of Chicago is to be named as an additional insured on a primary, non-contributory basis for any liability arising directly or indirectly from the services.
- 6) Property
Vendor is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools and supplies) owned, rented, or used by Vendor and for loss or damage to property in the Vendor's care, custody and control.

B. Additional Requirements

Evidence of Insurance. Vendor must furnish the City of Chicago, Department of Fleet and Facility Management, Attn: Bureau of Asset Management, 30 North LaSalle Street, Suite 300, Chicago, IL. 60602, original certificates of insurance and additional insured endorsement, or other evidence of insurance, to be in force on the date of this Agreement, and renewal certificates of Insurance and endorsement, or such similar evidence, if the coverages have an expiration or renewal date occurring during the term of this Agreement. Vendor must submit evidence of insurance prior to execution of Agreement. The receipt of any certificate does not constitute agreement by the City that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all requirements of Agreement. The failure of the City to obtain, nor the City's receipt of, or failure to object to a non-complying insurance certificate, endorsement or other insurance evidence

from Licensee, its insurance broker(s) and/or insurer(s) will not be construed as a waiver by the City of any of the required insurance provisions. Vendor must advise all insurers of the Agreement provisions regarding insurance. The City in no way warrants that the insurance required herein is sufficient to protect Vendor for liabilities which may arise from or relate to the Agreement. The City reserves the right to obtain complete, certified copies of any required insurance policies at any time.

Failure to Maintain Insurance. Failure of the Vendor to comply with required coverage and terms and conditions outlined herein will not limit Vendor's liability or responsibility nor does it relieve Vendor of the obligation to provide insurance as specified in this Agreement.

Nonfulfillment of the insurance conditions may constitute a violation of the Agreement, and the City retains the right to suspend this Agreement until proper evidence of insurance is provided, or the Agreement may be terminated.

Notice of Material Change, Cancellation or Non-Renewal.

Vendor must provide for sixty (60) days prior written notice to be given to the City in the event coverage is substantially changed, canceled or non-renewed and ten (10) days prior written notice for non-payment of premium.

Deductibles and Self-Insured Retentions. Any deductibles or self-insured retentions on referenced insurance coverages must be borne by Vendor.

Waiver of Subrogation. Vendor hereby waives its rights and its insurer(s)' rights of and agrees to require their insurers to waive their rights of subrogation against the City under all required insurance herein for any loss arising from or relating to this Agreement. Vendor agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the City received a waiver of subrogation endorsement for Vendor's insurer(s).

Vendor's Insurance Primary. All insurance required of Vendor under this Agreement shall be endorsed to state that Vendor's insurance policy is primary and not contributory with any insurance carrier by the City.

No Limitation as to Vendor's Liabilities. The coverages and limits furnished by Vendor in no way limit the Vendor's liabilities and responsibilities specified within the Agreement or by law.

No Contribution by City. Any insurance or self-insurance programs maintained by the City do not contribute with insurance provided by Vendor under this Agreement.

Insurance not Limited by Indemnification. The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in this Agreement given as a matter of law.

Insurance and Limits Maintained. If Vendor maintains higher limits and/or broader coverage than the minimums shown herein, the City requires and shall be entitled the higher limits and/or broader coverage maintained by Vendor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the City.

Joint Venture or Limited Liability Company.

If Vendor is a joint venture or limited liability company, the insurance policies must name the

joint venture or limited liability company as a named insured.

Other Insurance obtained by Vendor. If Vendor desires additional coverages, the Vendor will be responsible for the acquisition and cost.

Insurance required of Subcontractors. Vendor shall name the Subcontractor(s) as a named insured(s) under Vendor's insurance or Vendor will require each Subcontractor(s) to provide and maintain Commercial General Liability, Commercial Automobile Liability, Worker's Compensation and Employers Liability Insurance and when applicable Excess/Umbrella Liability Insurance with coverage at least as broad as in outlined in Section A, Insurance Required. The limits of coverage will be determined by Vendor. Vendor shall determine if Subcontractor(s) must also provide any additional coverage or other coverage outlined in Section A, Insurance Required. Vendor is responsible for ensuring that each Subcontractor has named the City as an additional insured where required and name the City on an additional insured endorsement form acceptable to the City. Vendor is also responsible for ensuring that each Subcontractor has complied with the required coverage and terms and conditions outlined in this Section B, Additional Requirements. When requested by the City, Vendor must provide to the City certificates of insurance and additional insured endorsements or other evidence of insurance. The City reserves the right to obtain complete, certified copies of any required insurance policies at any time. Failure of the Subcontractor(s) to comply with required coverage and terms and conditions outlined herein will not limit Vendor's liability or responsibility.

City's Right to Modify. Notwithstanding any provisions in the Agreement to the contrary, the City, Department of Finance, Risk Management Office maintains the right to modify, delete, alter or change these requirements.

INSURANCE REQUIREMENTS

Department of Fleet and Facility Management
Riverwalk Program

Charter –Tour Boat Operations License Agreement Water Taxi Boat Operations Agreement

A. INSURANCE REQUIRED

Licensee must provide and maintain at Licensee's own expense, during the term of the Agreement and during the time period following expiration if Licensee is required to return and perform any work, services, or operations, the insurance coverages and requirements specified below, insuring all work, services, or operations related to the Agreement.

- 1) Workers Compensation and Employers Liability (Primary and Umbrella)
Workers Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under this Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident; \$500,000 disease-policy limit and \$500,000 disease-each employee, or the full per occurrence limits of the policy, whichever is greater. Coverage shall include but not be limited to: other states endorsement, alternate employer and voluntary compensation endorsement, United States Long Shore and Harbor Workers and Jones Act when applicable.

Licensee may use a combination of primary and excess/umbrella policy/policies to satisfy the limits of liability required herein. The excess/umbrella policy/policies must provide the same coverages/follow form as the underlying policy/policies.

- 2) Commercial General Liability (Primary and Umbrella)
Commercial General Liability Insurance or equivalent must be maintained with limits of not less than \$1,000,000 per occurrence, or the full per occurrence limits of the policy, whichever is greater, for bodily injury, personal injury, and property damage liability. Coverages must include but not be limited to the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability (not to include Endorsement CG 21 39 or equivalent).

The City must be provided additional insured status with respect to liability arising out of Licensee's work, services or operations performed on behalf of the City. The City's additional insured status must apply to liability and defense of suits arising out of Licensee's acts or omissions, whether such liability is attributable to the Licensee or to the City on an additional insured endorsement form acceptable to the City. The full policy limits and scope of protection also will apply to the City as an additional insured, even if they exceed the City's minimum limits required herein. Licensee's liability insurance must be primary without right of contribution by any other insurance or self-insurance maintained by or available to the City.

Licensee may use a combination of primary and excess/umbrella policy/policies to satisfy the limits of liability required herein. The excess/umbrella policy/policies must provide the same coverages/follow form as the underlying policy/policies.

3) Automobile Liability (Primary and Umbrella)

When any motor vehicles (owned, non-owned and hired) are used in connection with work, services, or operations to be performed, Automobile Liability Insurance must be maintained by the Licensee with limits of not less than \$1,000,000 per occurrence, or the full per occurrence limits of the policy, whichever is greater, for bodily injury and property damage and covering the ownership, maintenance, or use of any auto whether owned, leased, non-owned or hired used in the performance of the work or services. The City is to be added as an additional insureds on a primary, non-contributory basis.

Licensee may use a combination of primary and excess/umbrella policy/policies to satisfy the limits of liability required herein. The excess/umbrella policy/policies must provide the same coverages/follow form as the underlying policy/policies.

4) Excess/Umbrella

Excess/Umbrella Liability Insurance must be maintained with limits of not less than \$2,000,000 per occurrence, or the full per occurrence limits of the policy, whichever is greater. The policy/policies must provide the same coverages/follow form as the underlying Commercial General Liability, Automobile Liability, Employers Liability and Completed Operations coverage required herein and expressly provide that the excess or umbrella policy/policies will drop down over reduced and/or exhausted aggregate limit, if any, of the underlying insurance. The Excess/Umbrella policy/policies must be primary without right of contribution by any other insurance or self-insurance maintained by or available to the City.

Licensee may use a combination of primary and excess/umbrella policies to satisfy the limits of liability required in sections A.1, A.2, A.3 and A.4 herein.

5) Marine Protection & Indemnity

When Licensee undertakes any marine operation in connection with this Contract, Licensee must provide or cause to be provided, Marine Protection & Indemnity coverage with limits of not less than \$1,000,000. Coverage must include property damage and bodily injury to third parties, injuries to crew members if not provided through other insurance; damage to wharves, piers and other structures, and collision. The City of Chicago is to be named as an additional insured.

6) Liquor Liability

When applicable, Liquor Liability ("Dram Shop") Insurance must be obtained with limits of not less than \$1,000,000 per occurrence. Coverage must include but not be limited to the following: off-site coverage, assault and battery coverage, and common law. The City of Chicago is to be named as an additional insured on a primary, non-contributory basis for any liability arising directly or indirectly from the services.

7) Property

Licensee is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools and supplies) owned, rented, or used by Licensee and for loss or damage to property in the Licensee's care, custody and control.

B. Additional Requirements

Evidence of Insurance. Licensee must furnish the City of Chicago, Department of Fleet and Facility Management, Attn: Bureau of Asset Management, 30 North LaSalle Street, Suite 300, Chicago, IL. 60602, original certificates of insurance and additional insured endorsement, or

other evidence of insurance, to be in force on the date of this Agreement, and renewal certificates of Insurance and endorsement, or such similar evidence, if the coverages have an expiration or renewal date occurring during the term of this Agreement. Licensee must submit evidence of insurance prior to execution of Agreement. The receipt of any certificate does not constitute agreement by the City that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all requirements of Agreement. The failure of the City to obtain, nor the City's receipt of, or failure to object to a non-complying insurance certificate, endorsement or other insurance evidence from Licensee, its insurance broker(s) and/or insurer(s) will not be construed as a waiver by the City of any of the required insurance provisions. Licensee must advise all insurers of the Agreement provisions regarding insurance. The City in no way warrants that the insurance required herein is sufficient to protect Licensee for liabilities which may arise from or relate to the Agreement. The City reserves the right to obtain complete, certified copies of any required insurance policies at any time.

Failure to Maintain Insurance. Failure of the Licensee to comply with required coverage and terms and conditions outlined herein will not limit Licensee's liability or responsibility nor does it relieve Licensee of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a violation of the Agreement, and the City retains the right to suspend this Agreement until proper evidence of insurance is provided, or the Agreement may be terminated.

Notice of Material Change, Cancellation or Non-Renewal.

Licensee must provide for sixty (60) days prior written notice to be given to the City in the event coverage is substantially changed, canceled or non-renewed and ten (10) days prior written notice for non-payment of premium.

Deductibles and Self-Insured Retentions. Any deductibles or self-insured retentions on referenced insurance coverages must be borne by Licensee.

Waiver of Subrogation. Licensee hereby waives its rights and its insurer(s)' rights of and agrees to require their insurers to waive their rights of subrogation against the City under all required insurance herein for any loss arising from or relating to this Agreement. Licensee agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the City received a waiver of subrogation endorsement for Licensee's insurer(s).

Licensees Insurance Primary. All insurance required of Licensee under this Agreement shall be endorsed to state that Licensee's insurance policy is primary and not contributory with any insurance carrier by the City.

No Limitation as to Licensee's Liabilities. The coverages and limits furnished by Licensee in no way limit the Licensee's liabilities and responsibilities specified within the Agreement or by law.

No Contribution by City. Any insurance or self-insurance programs maintained by the City do not contribute with insurance provided by Licensee under this Agreement.

Insurance not Limited by Indemnification. The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in this Agreement given as a matter of law.

Insurance and Limits Maintained. If Licensee maintains higher limits and/or broader coverage than the minimums shown herein, the City requires and shall be entitled the higher limits and/or broader coverage maintained by Licensee. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the City.

Joint Venture or Limited Liability Company.

If Licensee is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured.

Other Insurance obtained by Licensee. If Licensee desires additional coverages, the Licensee will be responsible for the acquisition and cost.

Insurance required of Subcontractors. Licensee shall name the Subcontractor(s) as a named insured(s) under Licensee's insurance or Licensee will require each Subcontractor(s) to provide and maintain Commercial General Liability, Commercial Automobile Liability, Worker's Compensation and Employers Liability Insurance and when applicable Excess/Umbrella Liability Insurance with coverage at least as broad as in outlined in Section A, Insurance Required. The limits of coverage will be determined by Licensee. Licensee shall determine if Subcontractor(s) must also provide any additional coverage or other coverage outlined in Section A, Insurance Required. Licensee is responsible for ensuring that each Subcontractor has named the City as an additional insured where required and name the City on an additional insured endorsement form acceptable to the City. Licensee is also responsible for ensuring that each Subcontractor has complied with the required coverage and terms and conditions outlined in this Section B, Additional Requirements. When requested by the City, Licensee must provide to the City certificates of insurance and additional insured endorsements or other evidence of insurance. The City reserves the right to obtain complete, certified copies of any required insurance policies at any time. Failure of the Subcontractor(s) to comply with required coverage and terms and conditions outlined herein will not limit Licensee's liability or responsibility.

City's Right to Modify. Notwithstanding any provisions in the Agreement to the contrary, the City, Department of Finance, Risk Management Office maintains the right to modify, delete, alter or change these requirements.

CONTRACT INSURANCE REQUIREMENTS
Department of Fleet and Facility Management

Construction Insurance Requirements for Work on the Chicago Riverwalk

A. INSURANCE REQUIRED

Contractor must provide and maintain at Contractor's own expense until Contract completion and during the time period following completion if Contractor is required to return and perform any work, services, or operations, the insurance coverages and requirements specified below, insuring all work, services, or operations related to the Contract.

- 1) Workers Compensation and Employers Liability (Primary and Umbrella)
Workers Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under this Contract and Employers Liability coverage with limits of not less than \$500,000 each accident; \$500,000 disease-policy limit; and \$500,000 disease-each employee, or the full per occurrence limits of the policy, whichever is greater.

Contractor may use a combination of primary and excess/umbrella policy/policies to satisfy the limits of liability required herein. The excess/umbrella policy/policies must provide the same coverages/follow form as the underlying policy/policies.

- 2) Commercial General Liability (Primary and Umbrella)
Commercial General Liability Insurance or equivalent must be maintained with limits of not less than \$1,000,000 per occurrence, or the full per occurrence limits of the policy, whichever is greater, for bodily injury, personal injury, and property damage liability. Coverages must include but not be limited to the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability (not to include Endorsement CG 21 39 or equivalent).

The City and the Vendor/Licensee must be provided additional insured status with respect to liability arising out of Contractor's work, services or operations and completed operations performed on behalf of the City. Such additional insured coverage must be provided on ISO form CG 2010 or on an endorsement form at least as broad for ongoing operations and completed operations. The City's additional insured status must apply to liability and defense of suits arising out of Contractor's acts or omissions, whether such liability is attributable to the Contractor or to the City. The full policy limits and scope of protection also will apply to the City as an additional insured, even if they exceed the City's minimum limits required herein. Contractor's liability insurance must be primary without right of contribution by any other insurance or self-insurance maintained by or available to the City.

Contractor may use a combination of primary and excess/umbrella policy/policies to satisfy the limits of liability required herein. The excess/umbrella policy/policies must provide the same coverages/follow form as the underlying

policy/policies.

3) Automobile Liability (Primary and Umbrella)

When any motor vehicles (owned, non-owned and hired) are used in connection with work, services, or operations to be performed, Automobile Liability Insurance must be maintained by the Contractor or cause to be maintained, with limits of not less than \$1,000,000 per occurrence, or the full per occurrence limits of the policy, whichever is

greater, for bodily injury and property damage and covering the ownership, maintenance, or use of any auto whether owned, leased, non-owned or hired used in the performance of the work or services. The City and the Vendor/Licensee are to be added as additional insureds on a primary, non-contributory basis.

Contractor may use a combination of primary and excess/umbrella policy/policies to satisfy the limits of liability required herein. The excess/umbrella policy/policies must provide the same coverages/follow form as the underlying policy/policies.

4) Excess/Umbrella

Excess/Umbrella Liability Insurance must be maintained with limits of not less than \$1,000,000 per occurrence, or the full per occurrence limits of the policy, whichever is greater. The policy/policies must provide the same coverages/follow form as the underlying Commercial General Liability, Automobile Liability, Employers Liability and Completed Operations coverage required herein and expressly provide that the excess or umbrella policy/policies will drop down over reduced and/or exhausted aggregate limit, if any, of the underlying insurance. The Excess/Umbrella policy/policies must be primary without right of contribution by any other insurance or self-insurance maintained by or available to the City.

Contractor may use a combination of primary and excess/umbrella policies to satisfy the limits of liability required in sections A.1, A.2, A.3 and A.4 herein.

5) Contractors Pollution Liability – (If Applicable)

When any work performed involves a potential pollution risk that may arise from the operations of Contractor's scope of services Contractors Pollution Liability must be provided or caused to be provided, covering bodily injury, property damage and other losses caused by pollution conditions with limits of not less than \$2,000,000 per occurrence. Coverage must include but not be limited to completed operations, contractual liability, defense, excavation, environmental cleanup, remediation and disposal and if applicable, include transportation and non-owned disposal coverage. When policies are renewed or replaced, the policy retroactive date must coincide with or precede start of work on the Contract. A claims-made policy which is not renewed or replaced must have an extended reporting period of two (2) years. The City and the Vendor/Licensee are to be named as additional insureds.

6) Builders Risk/Installation – If Applicable)

When any construction, or major installation projects is undertaken on the property including improvements, betterments, and/or repairs, the Contractor must provide or cause to be provided, All Risk Builders Risk/Installation Insurance at replacement cost for materials, supplies, equipment, machinery and fixtures that are or will be part of the permanent facility or project. The City of Chicago and the Vendor/Licensee are to be named as additional insureds and loss payees.

The Contractor is responsible for all loss or damage to personal property (including materials, equipment, tools and supplies) owned, rented or used by Contractor.

B. Additional Requirements

Evidence of Insurance. Contractor must furnish the Vendor/Licensee and the City of Chicago, Department of Fleet and Facility Management Room 806, 121 North LaSalle Street, Chicago, IL. 60602, original certificates of insurance and additional insured endorsement, or other evidence of insurance, to be in force on the date of this Contract, and renewal certificates of Insurance and endorsement, or such similar evidence, if the coverages have an expiration or renewal date occurring during the term of this Contract. Contractor must submit evidence of insurance prior to execution of Contract. The receipt of any certificate does not constitute agreement by the City that the insurance requirements in the Contract have been fully met or that the insurance policies indicated on the certificate are in compliance with all requirements of Contract. The failure of the City to obtain, nor the City's receipt of, or failure to object to a non-complying insurance certificate, endorsement or other insurance evidence from Contractor, its insurance broker(s) and/or insurer(s) will not be construed as a waiver by the City of any of the required insurance provisions. Contractor must advise all insurers of the Contract provisions regarding insurance. The City in no way warrants that the insurance required herein is sufficient to protect Contractor for liabilities which may arise from or relate to the Contract

Failure to Maintain Insurance. Failure of the Contractor to comply with required coverage and terms and conditions outlined herein will not limit Contractor's liability or responsibility nor does it relieve Contractor of the obligation to provide insurance as specified in this Contract. Nonfulfillment of the insurance conditions may constitute a violation of the Contract, and the City retains the right to suspend this Contract until proper evidence of insurance is provided, or the Contract may be terminated.

Notice of Material Change, Cancellation or Non-Renewal. Contractor must provide for sixty (60) days prior written notice to be given to the City in the event coverage is substantially changed, canceled or non-renewed and ten (10) days prior written notice for non-payment of premium.

Deductibles and Self-Insured Retentions. Any deductibles or self-insured retentions on referenced insurance coverages must be borne by Contractor.

Waiver of Subrogation. Contractor hereby waives its rights and its insurer(s)' rights of

and agrees to require their insurers to waive their rights of subrogation against the City under all required insurance herein for any loss arising from or relating to this Contract. Contractor agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not the City received a waiver of subrogation endorsement for Contractor's insurer(s).

Contractors Insurance Primary. All insurance required of Contractor under this Contract shall be endorsed to state that Contractor's insurance policy is primary and not contributory with any insurance carrier by the Vendor/Licensee and the City.

No Limitation as to Contractor's Liabilities. The coverages and limits furnished by Contractor in no way limit the Contractor's liabilities and responsibilities specified within the Contract or by law.

No Contribution by City. Any insurance or self-insurance programs maintained by the City do not contribute with insurance provided by Contractor under this Contract.

Insurance not Limited by Indemnification. The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Contract or any limitation placed on the indemnity in this Contract given as a matter of law.

Insurance and Limits Maintained. If Contractor maintains higher limits and/or broader coverage than the minimums shown herein, the City requires and shall be entitled the higher limits and/or broader coverage maintained by Contractor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the Vendor/Licensee and the City.

Joint Venture or Limited Liability Company. If Contractor is a joint venture or limited liability

company, the insurance policies must name the joint venture or limited liability company as a named insured.

Other Insurance obtained by Contractor. If Contractor desires additional coverages, the Contractor will be responsible for the acquisition and cost.

Insurance required of Subcontractors. Contractor shall name the Subcontractor(s) as a named insured(s) under Contractor's insurance or Contractor will require each Subcontractor(s) to provide and maintain Commercial General Liability, Commercial Automobile Liability, Worker's Compensation and Employers Liability Insurance and when applicable Excess/Umbrella Liability Insurance with coverage at least as broad as in outlined in Section A, Insurance Required. The limits of coverage will be determined by Contractor. Contractor shall determine if Subcontractor(s) must also provide any additional coverage or other coverage outlined in Section A, Insurance Required. Contractor is responsible for ensuring that each Subcontractor has named the City as an additional insured where required and name the City as an additional insured on an endorsement form acceptable to the City. Contractor is also responsible for ensuring that each Subcontractor has complied with the required coverage and terms

and conditions outlined in this Section B, Additional Requirements. When requested by the City, Contractor must provide to the City certificates of insurance and additional insured endorsements or other evidence of insurance. The City reserves the right to obtain complete, certified copies of any required insurance policies at any time. Failure of the Subcontractor(s) to comply with required coverage and terms and conditions outlined herein will not limit Contractor's liability or responsibility.

City's Right to Modify. Notwithstanding any provisions in the Contract to the contrary, the City, Department of Finance, Risk Management Office maintains the right to modify, delete, alter or change these requirements.